Earth Science Applications of Space Based Geodesy

DES-7355

Tu-Th

9:40-11:05

Seminar Room in 3892 Central Ave. (Long building)

Bob Smalley Office: 3892 Central Ave, Room 103 678-4929 Office Hours – Wed 14:00-16:00 or if I'm in my office.

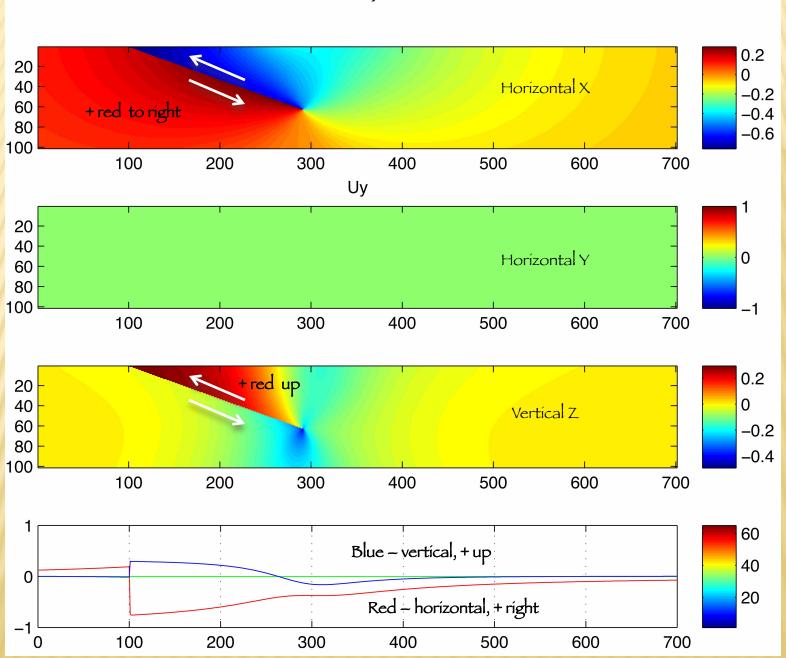
http://www.ceri.memphis.edu/people/smalley/ESCI7355/ESCI\_7355\_Applications\_of\_Space\_Based\_Geodesy.html

Class 18

### Interplate thrust faulting.

Co-seismic

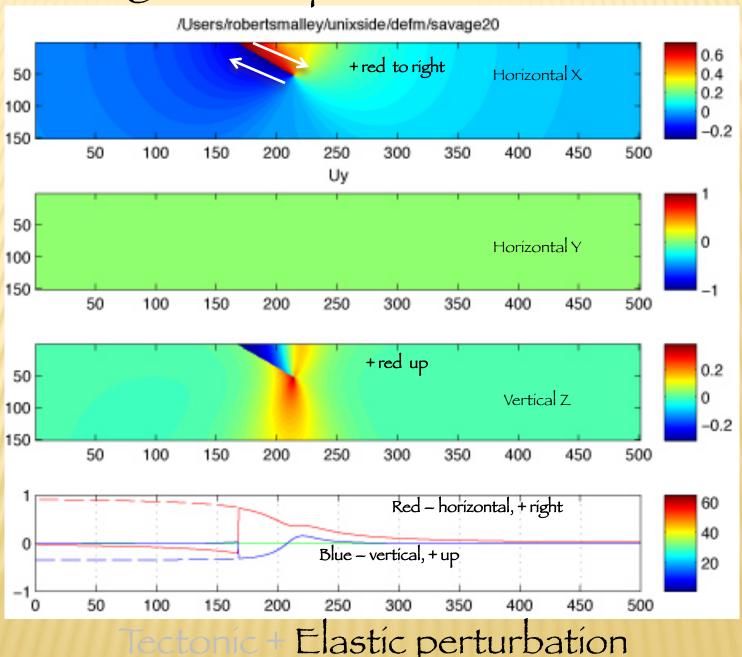
#### Co-seismic, no tectonics



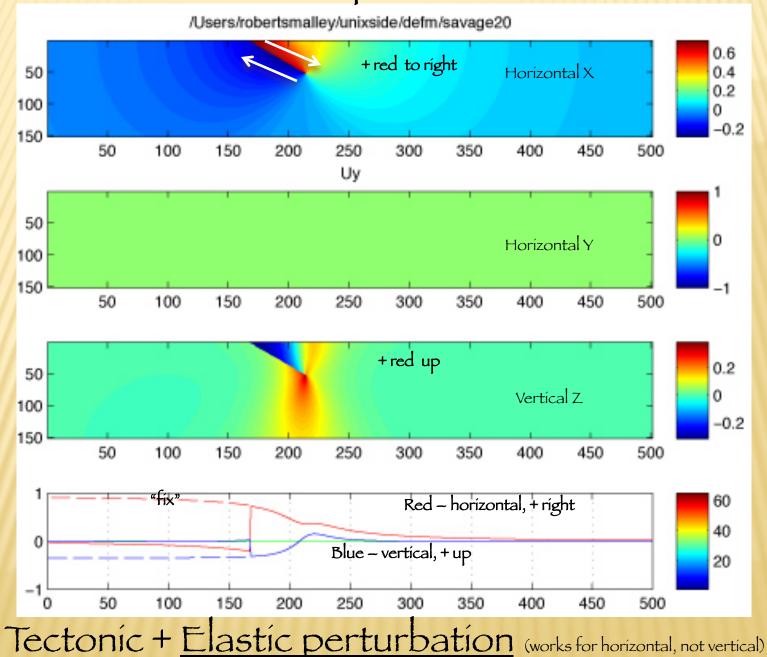
### Interplate interseismic.

Two ways

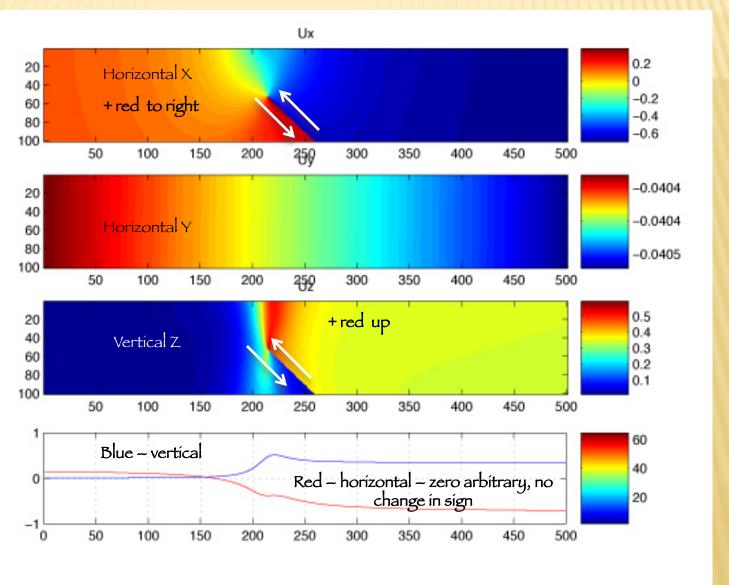
### Savage back-slip model for interseismic



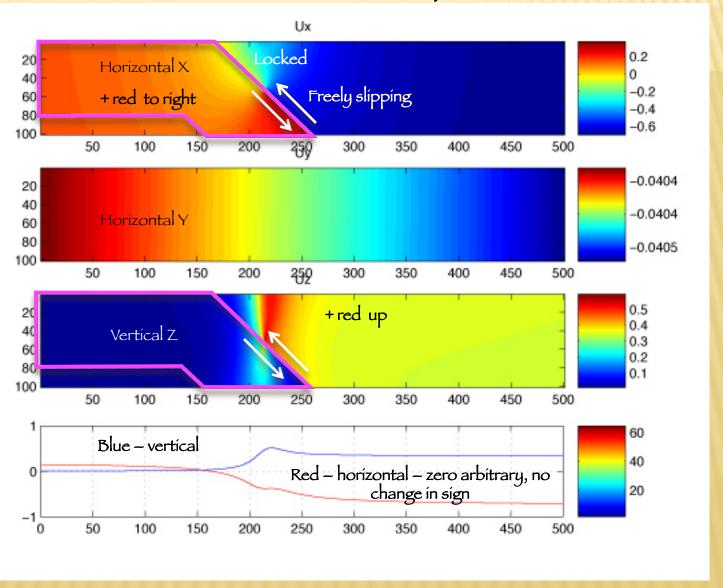
#### Run the earthquake "backwards"

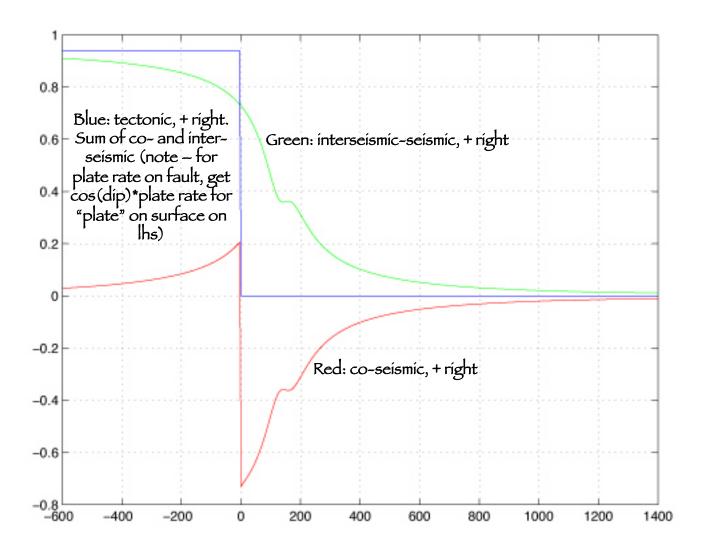


# Down-dip slip model for interseismic (does not have name)

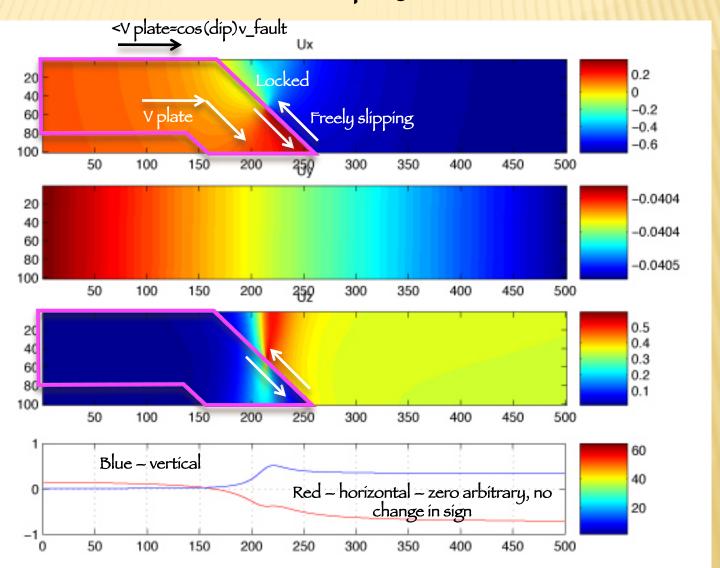


# Down-dip slip model for interseismic (based on idea of subducting plate continuing)

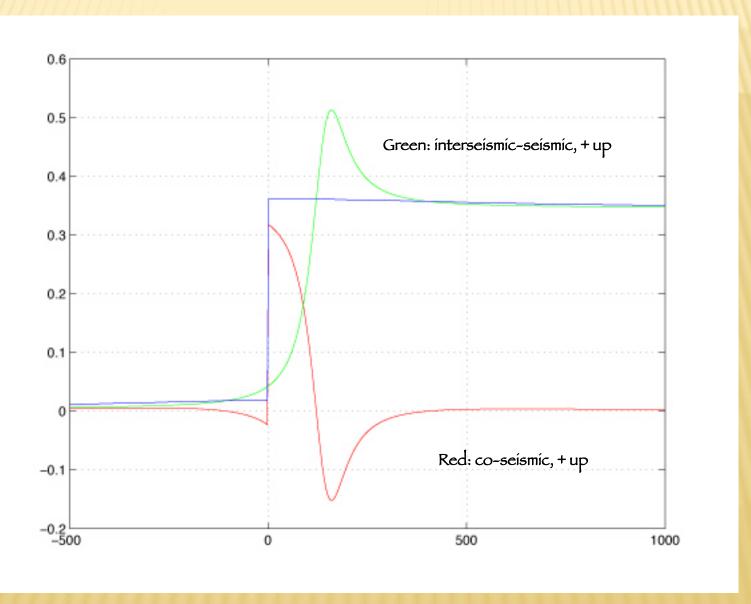




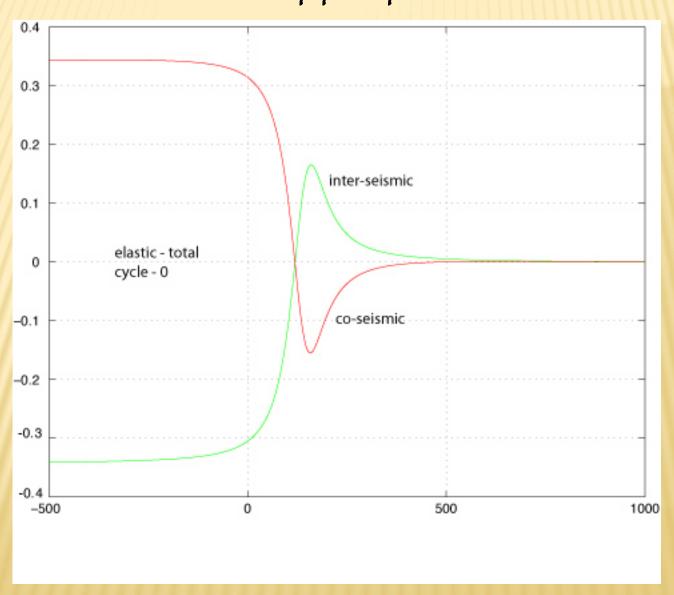
Another problem - Relative velocity across fault broken into horizontal and vertical components, don't get v-plate along surface (desired physics), get horiz. comp.



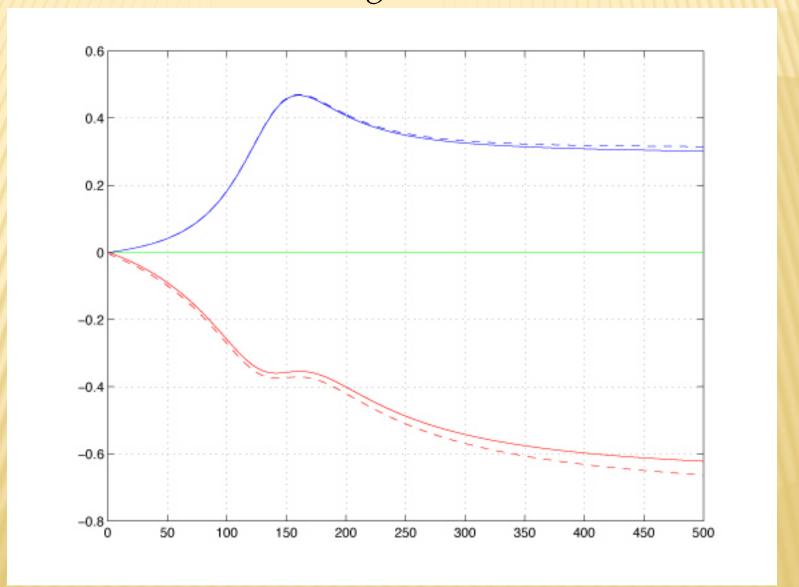
#### Vertical - inter-seismic and co-seismic



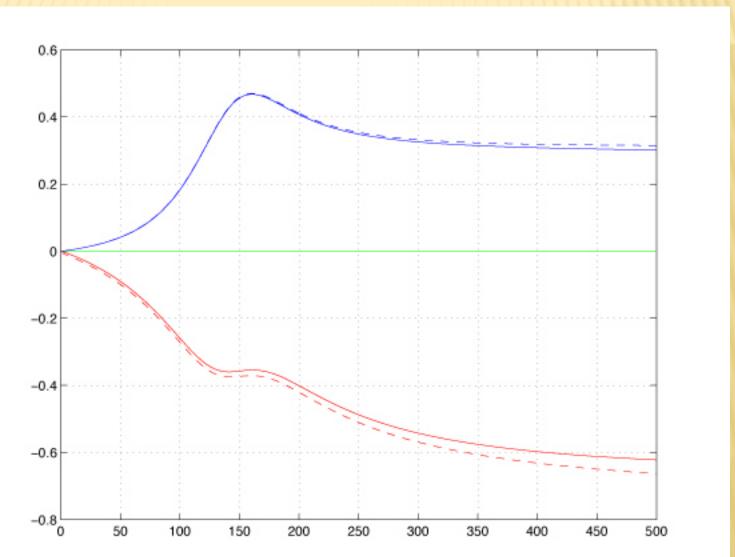
# Vertical – inter-seismic and co-seismic, total cycle wrt far field upper plate.



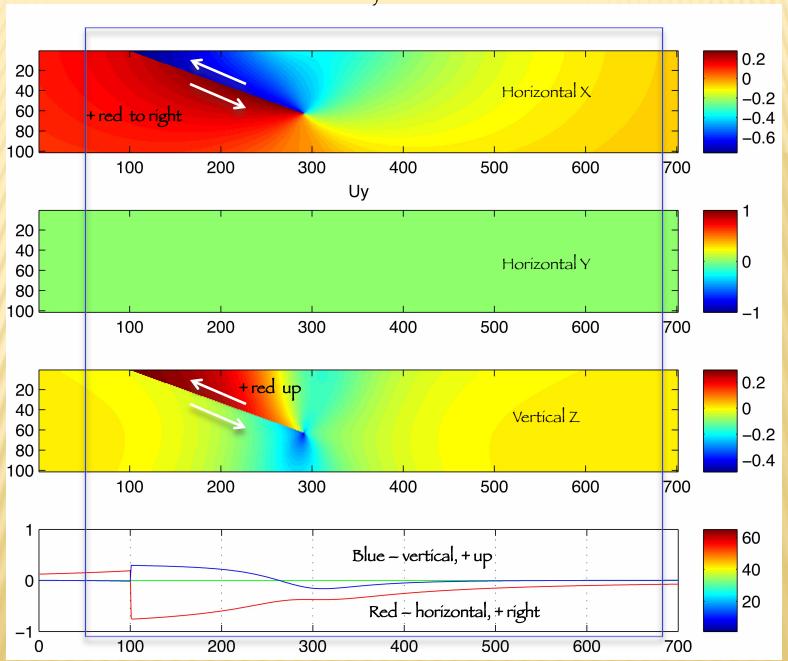
# Compare Savage back-slip & down-dip extension model Basically the same



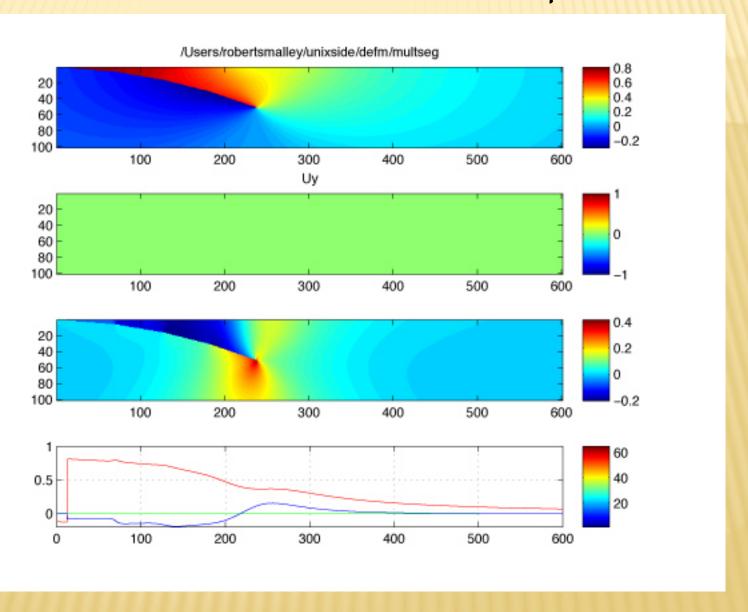
Horizontal has tectonics without "fix" (but at cos of dip angle), but vertical has whole half of medium on hanging wall side going up (at sin of dip angle)



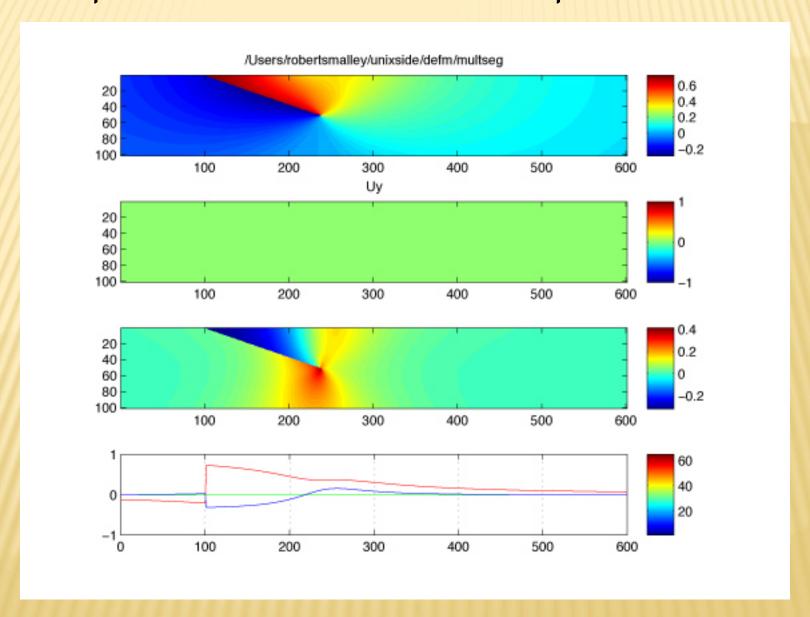
#### Co-seismic, no tectonics



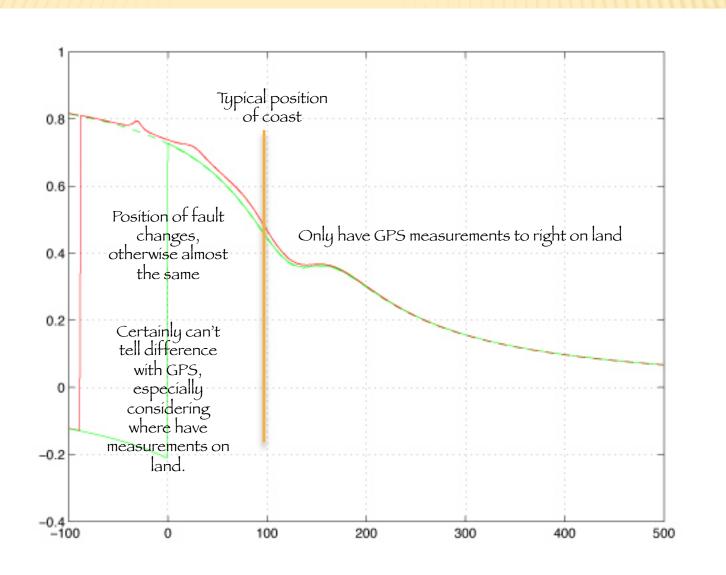
#### Popular variations - multi-segment interplate interface



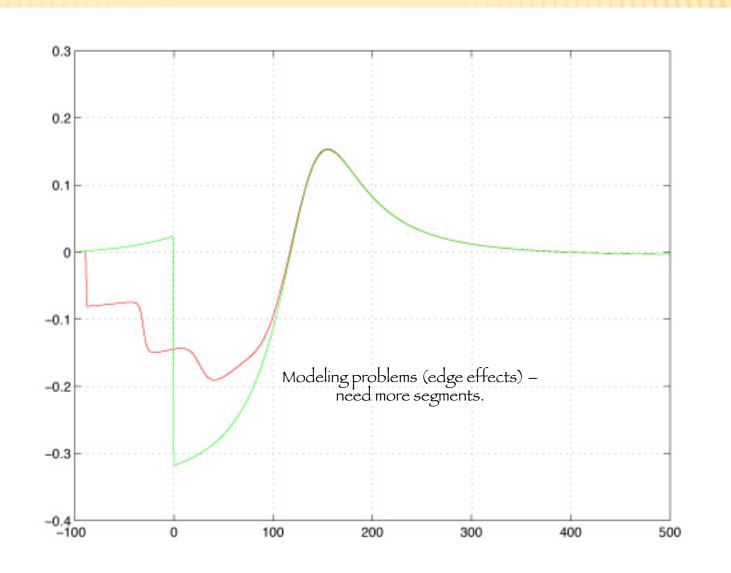
### Compare to single-segment interplate interface



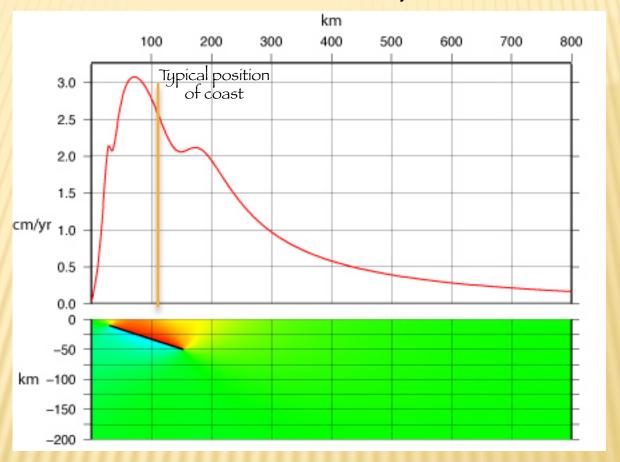
# Compare single-, multi-segment horizontal



## Compare single-, multi-segment Vertical

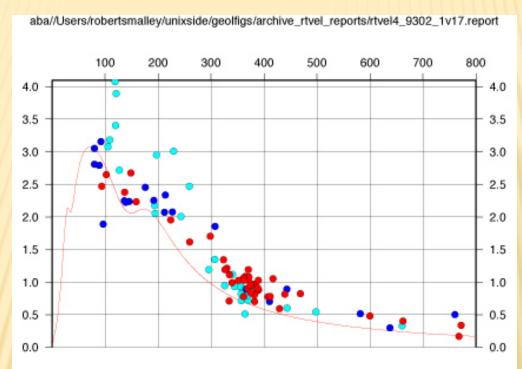


# Popular variations – fault does not outcrop (locked at top)



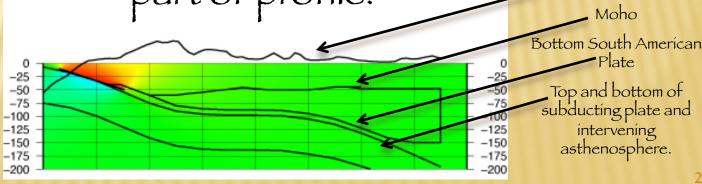
Geology, geophysics modeling support this, geodesy can't see it.

#### Going overboard

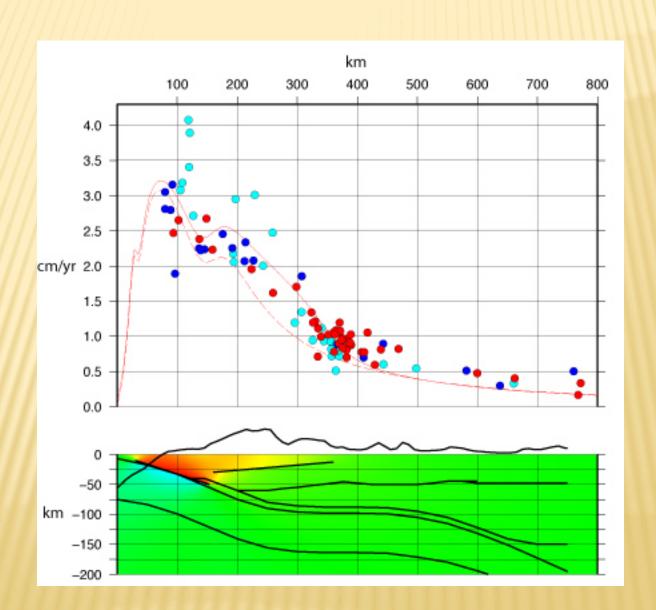


Single locked fault, does not match GPS data in central part of profile.

| Ox topography | Moho

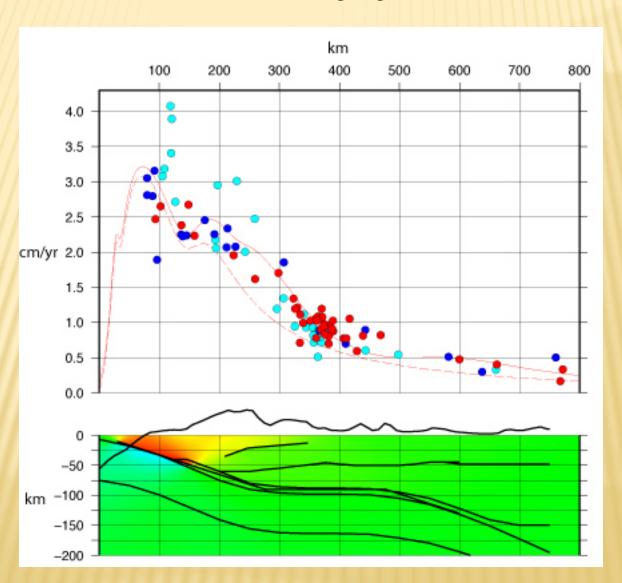


## Add friction free fault representing decollement beneath thin-skinned thrust belt.

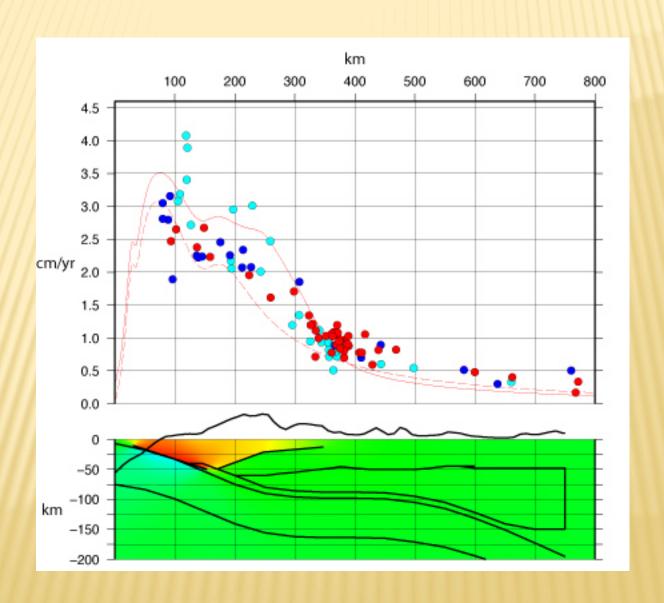


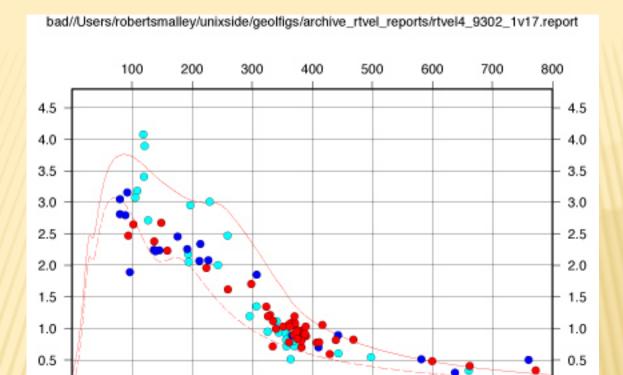
# Add friction free fault representing decollement beneath thin-skinned thrust belt and "scoop" below main

mountains (the dashed line geologists are wont to draw there).



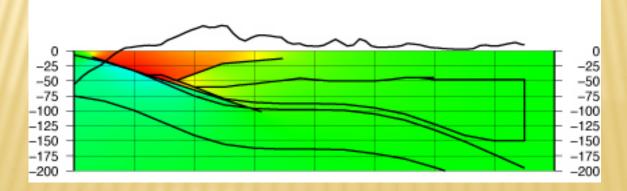
Send "scoop" all the way to the moho/intersection with subducted plate..



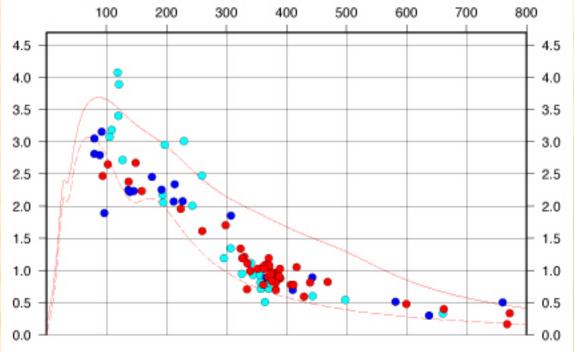


### Add friction free extension of plate boundary.

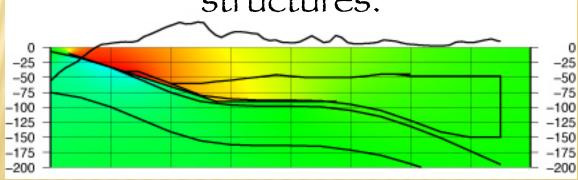
0.0

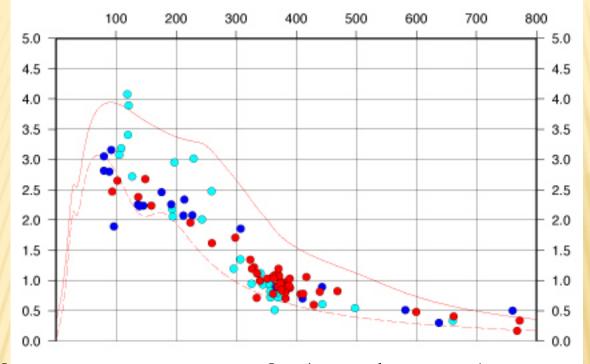




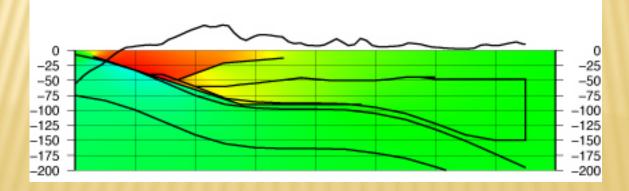


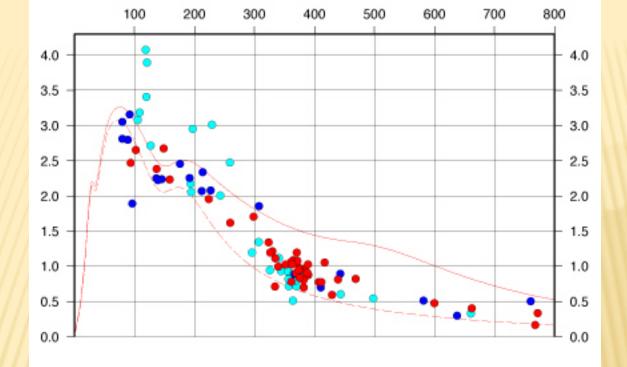
Add friction free extension of plate boundary and friction free base of upper lithosphere, not crustal structures.



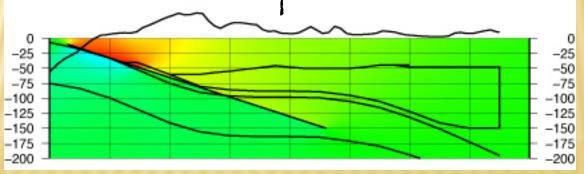


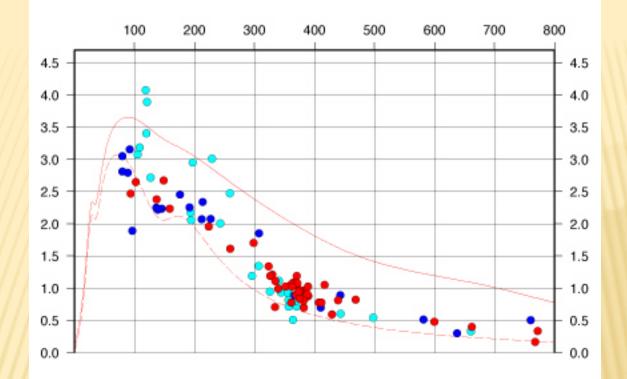
Friction free extension of plate boundary and friction free base of upper lithosphere, with crustal structures.



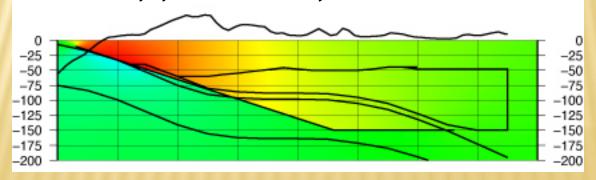


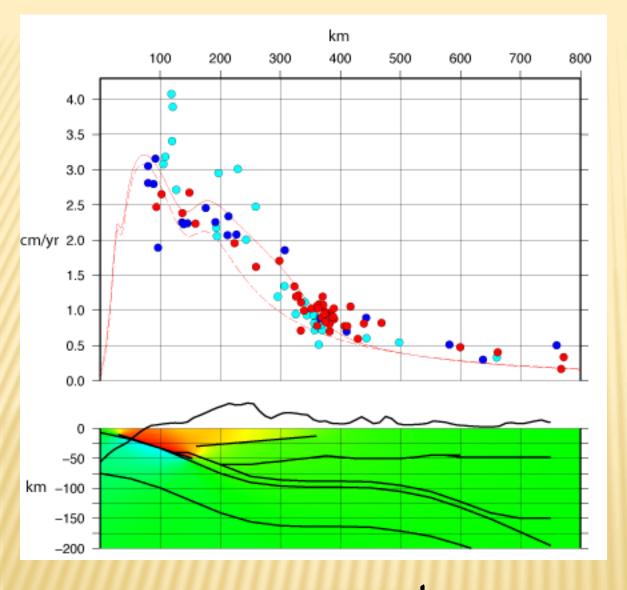
Friction free extension of plate boundary to 150 km depth.





Friction free extension of plate boundary and friction free base of upper lithosphere at 150 km depth.



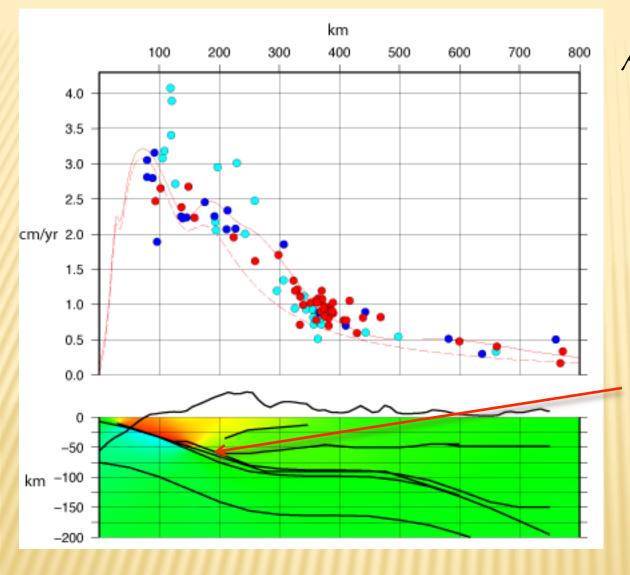


Horizontal displacement

Add freely slipping décollement in back arc crust.

"sucks-up" deformation into crust above décollement to match GPS data.

Still too slow at greater distances.



Horizontal displacement

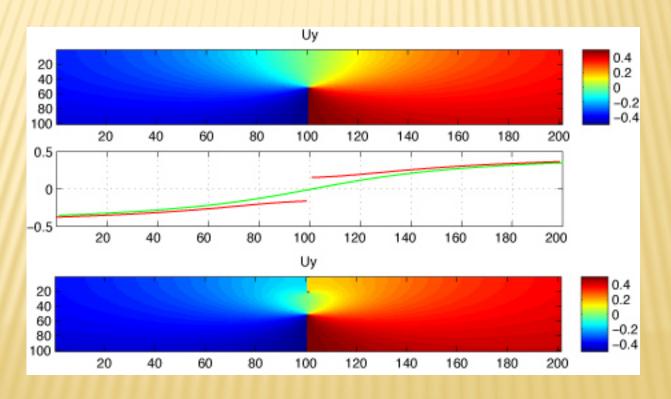
Add "push" from relative plate convergence (normal force only on dipping plate interfaces at >50 km depth).

"throws"
deformation to
greater
distances.

Other popular variant.

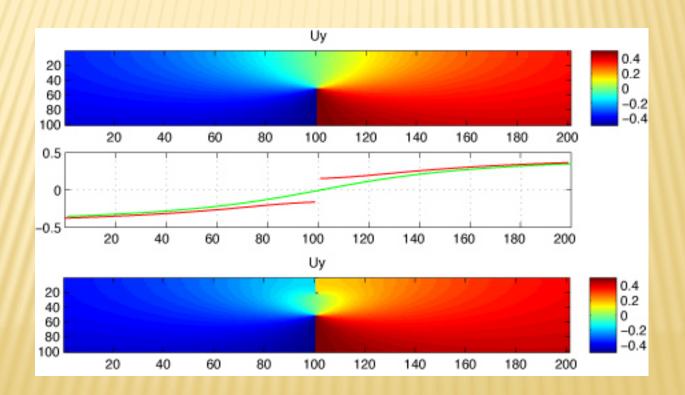
Creeping section at surface on otherwise locked fault.

Can do it by putting in fault with specified slip, or in a self-consistent manner by putting in frictionless fault and letting it find equilibrium.



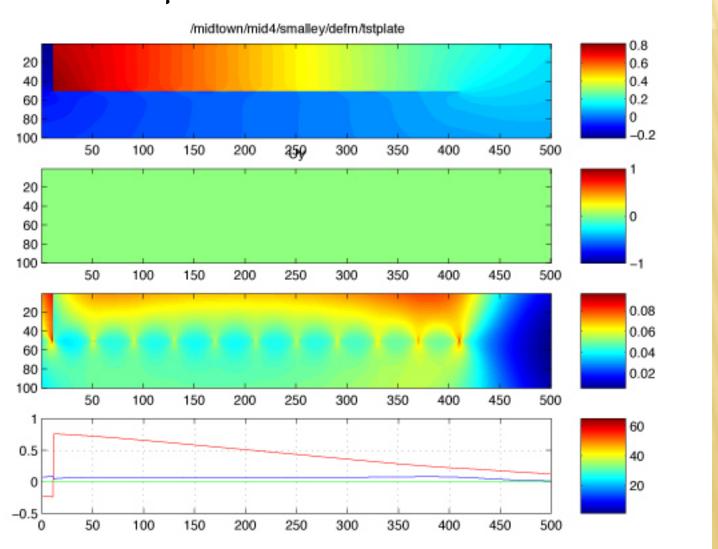
Use physically based model (slip on fault starting at locking depth and going to "infinity) rather than backslip (top, green line), with friction free fault (bottom, red line).

Now have offset across fault trace (from creep).

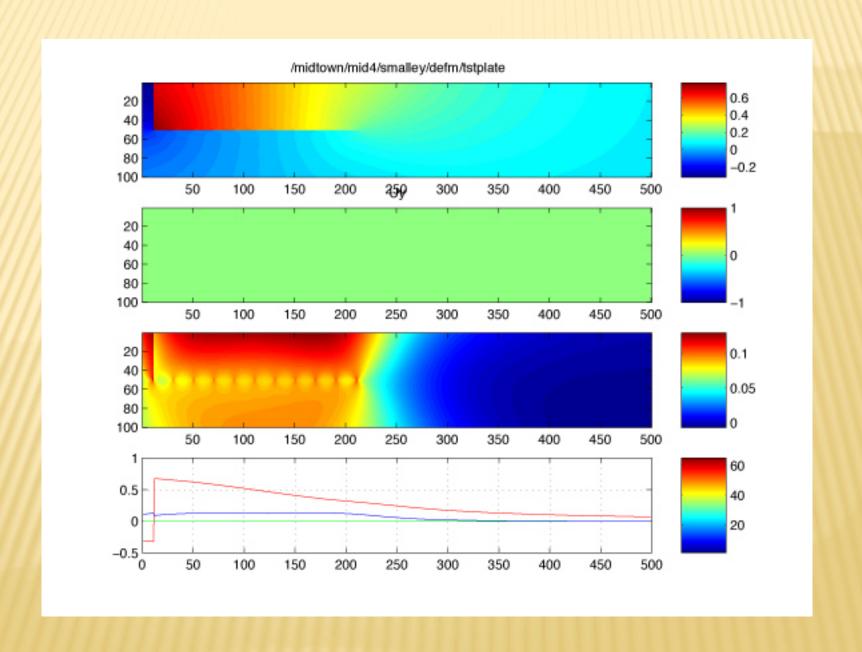


#### Random Stuff:

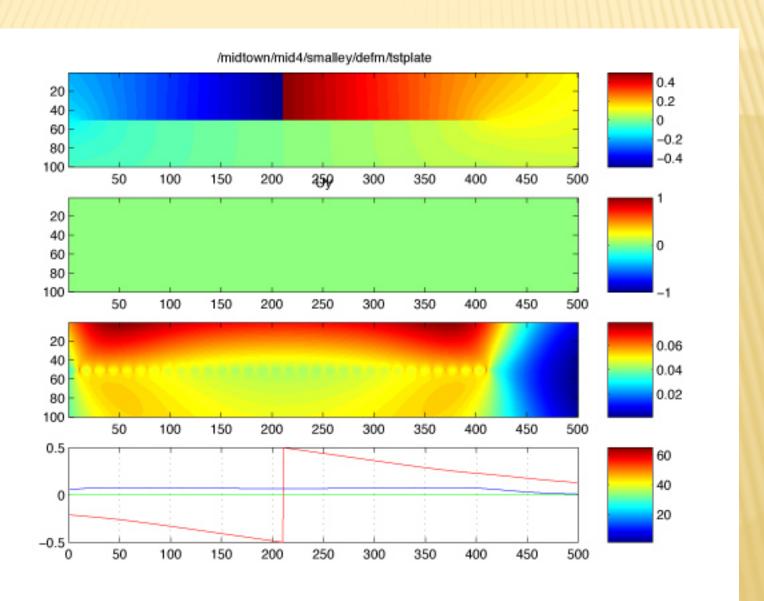
Simulate end loaded plate (right end not rigidly mounted). "smoothness" of result depends on number subelements.



#### Smaller elements



#### Push out

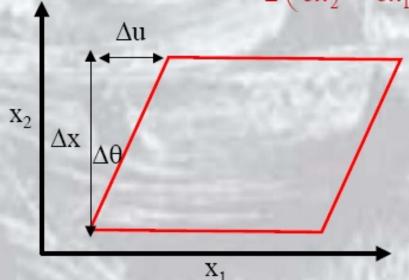


## Components of strain: simple shear

Mixed strain and rotation - simple shear

$$\varepsilon_{12} = \frac{1}{2} \left( \frac{\partial u_1}{\partial x_2} + \frac{\partial u_2}{\partial x_1} \right) = \frac{\Delta \theta}{2}$$

$$\omega_{12} = \frac{1}{2} \left( \frac{\partial u_1}{\partial x_2} - \frac{\partial u_2}{\partial x_1} \right) = \frac{\Delta \theta}{2}$$



$$\varepsilon_{12}$$
= 1/2 (  $\Delta u_1/\Delta x_2 + 0$ ) =  $\Delta \theta/2$ 

$$w_{12} = 1/2 (\Delta u_1/\Delta x_2 + 0) = \Delta \theta/2$$



#### Strain rates

Now we have to consider the time taken for the displacement field  $\mathbf{u}(\mathbf{x})$  to develop. If this time interval  $\Delta t$  is known, we can instead use the define velocity field  $\mathbf{v}(\mathbf{x})$ , where

 $v_i = \frac{u_i}{\Delta t}$ 

The development is identical, producing a velocity gradient tensor and the following strain-rate and rotation-rate tensor:

$$\dot{\varepsilon}_{ij} = \frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right)$$

$$\overset{\bullet}{\omega}_{ij} = \frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} - \frac{\partial v_j}{\partial x_i} \right)$$

The 'dot' is shorthand for ∂/∂t



## Using survey data

If the displacement gradient tensor  $D_{ij}$  were known, we could calculate the relative displacement  $\mathbf{u}$  between a pair of points separated by the vector  $\mathbf{x}$  by using the chain rule:

$$u_1 = \frac{\partial u_1}{\partial x_1} x_1 + \frac{\partial u_1}{\partial x_2} x_2$$
$$= D_{11} x_1 + D_{12} x_2$$

and similarly 
$$u_2 = D_{21}x_1 + D_{22}x_2$$

In surveys (satellite or terrestrial) we measure  $\mathbf{u}$  and  $\mathbf{x}$  and want to calculate  $D_{ij}$ . The same equations can be used to solve for the components  $D_{ij}$ .



## Using survey data

In 2-D, solving for the 4 independent components  $D_{ij}$  requires surveys of displacements between two pairs of points, e.g.  $\mathbf{u}^{\mathbf{a}}(\mathbf{x}^{\mathbf{a}})$  and  $\mathbf{u}^{\mathbf{b}}(\mathbf{x}^{\mathbf{b}})$ :

$$u_1^a = D_{11}x_1^a + D_{12}x_2^a$$

$$u_2^a = D_{21}x_1^a + D_{22}x_2^a$$

$$u_1^b = D_{11}x_1^b + D_{12}x_2^b$$

$$u_2^b = D_{21}x_1^b + D_{22}x_2^b$$

This is a set of 4 simultaneous equations in 4 unknowns. After solution the stain and rotation tensors can be obtained from  $D_{ij}$ .



#### DEFNODE

DEFNODE is a Fortran program to model <u>elastic</u> lithospheric block rotations and strains, and locking or coseismic slip on block-bounding faults.

## Quote of the day.

I make no guarantees whatsoever that this program will do what you want it to do or what you think it is doing.

# The program can solve for

- interseismic plate locking or coseismic slip distribution on faults,
  - · block (plate) angular velocities,
  - · uniform strain rates within blocks, and
- rotation of GPS velocity solutions relative to reference frame.

## Data to constrain the models include

- GPS vectors,
- surface uplifts,earthquake slip vectors,
  - spreading rates,rotation rates,
  - fault slip rates,transform azimuths,
- surface strain rates, and
  - surface tilt rates.

#### **RUNNING:**

% defnode

the program will ask for the control file name and the model name.

Enter the control file name as a command line argument:

% defnode control\_file\_name

Enter model name as second command line argument:

% defnode control\_file\_name model\_name

Runtime messages are all output to the screen. Many files are generated.

#### Directories:

All output will be put into a directory specified by the MO: (model) command.

The program also produces a directory called 'gfs' (or a user-assigned directory) to store the Green's function files.

Poles (angular velocities) and blocks:

You can specify many poles and many blocks (dimensioned with MAX\_poles, MAX\_blocks).

There is NOT a one-one correspondence between poles and blocks.

More than one block can be assigned the same pole (ie, the blocks rotate together) but each block can be assigned only one pole.

Poles can be specified as (lat,lon,omega) or by their Cartesian components (Wx, Wy, Wz).

47

## Strain rates and blocks:

The strain rate tensors (SRT) for the blocks are input in a similar way as the rotation poles.

Each SRT is assigned an index (integer) and blocks are assigned a SRT index.

As with poles, more than one block can be assigned to a single SRT.

Velocities are estimated from the SRT using the block's centroid as origin (default) or a user-assigned origin; if multiple blocks use the same SRT assign an origin for this SRT (see ST: option)

## Faults and blocks:

Faults along which backslip is applied are specified and must coincide point-for-point at the surface with block boundary polygons.

However, not all sections of block boundaries have to be specified as a fault.

If the boundary is not specified as a fault it is treated as free-slipping and will not produce any elastic strain (ie, there will be a step in velocity across the boundary).

By specifying no faults, you can solve for the block rotations alone.

## Fault nodes:

Fault surfaces are specified in 3 dimensions by nodes which are given by their long and lat (in degrees) and depth (in km, positive down).

Nodes are placed along depth contours of the faults and each depth contour has the same number of nodes.

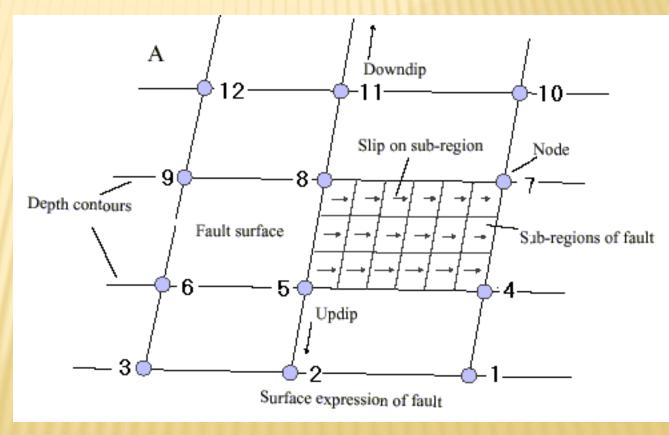
Nodes are numbered in order first along strike, then down dip.

Fault nodes:

Strike is the direction faced if the fault dips off to your right.

Faults cannot be exactly vertical (900 dip) as the hangingwall and footwall blocks must be defined.

The fault geometry at depth can be built either by specifying all the node coordinates individually or by using the DD: and ZD: options.



### Green's functions:

If you are performing an inversion, the program uses unit response (Green's) functions (GFs) for the elastic deformation part of the problem since the inversion method (downhill simplex) has to calculate numerous forward models.

Once you have calculated GFs for a particular set of faults you can use these in inversions without recalculating them (see option GD:).

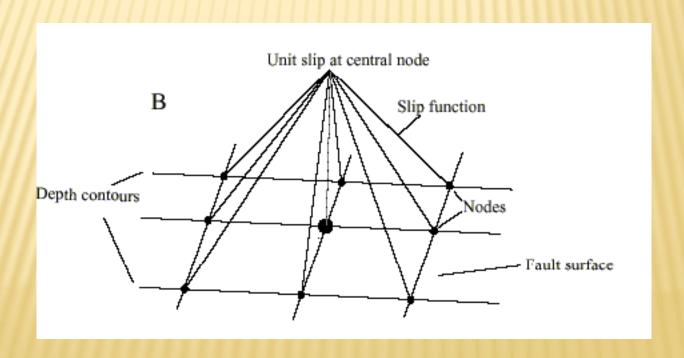
The GFs are based on the node geometry, GPS data, uplift data, strain tensor data, and tilt rate data so if you change the node positions or ADD data, you need to re-calculate GFs.

If you REMOVE data, you do not need to recalculate GFs.

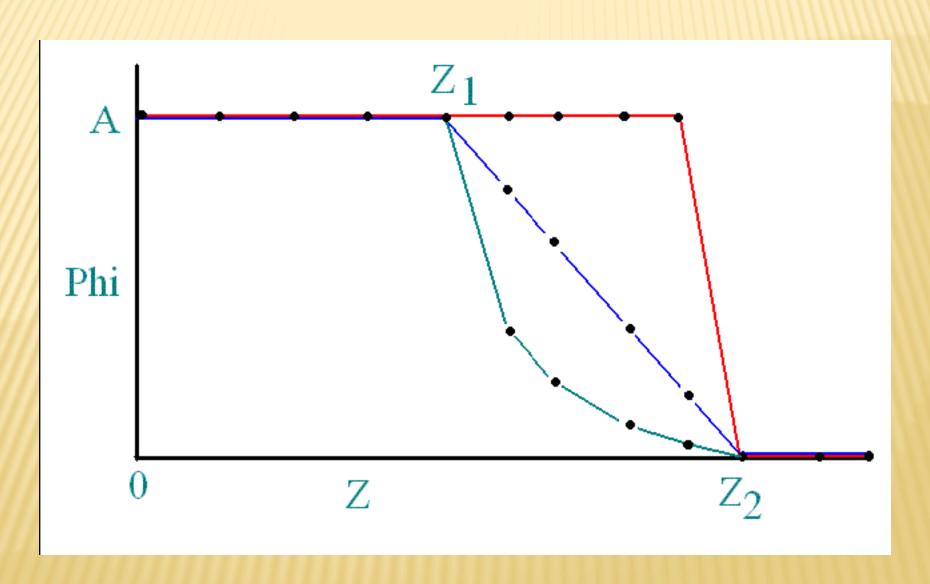
If you add GPS vectors, the program will not detect that and GFs may not be calculated. In this case, re-calculate all the GFs.

The GFs are the responses at the surface observation points to a unit velocity (or displacement) in the North and East directions at the central node.

The slip velocity is tapered to zero at all adjacent nodes.



# Specifying how slip dies off.



# CONTROL FILE The program reads the model and all controls from an control file.

Lines in the control file comprise a keyword section and a data section.

#### CONTROL FILE

The keyword section starts with a 2-character keyword (in the first 2 columns) and ends with a colon (:).

Normally only the first 2 characters of the keyword are used so in general any characters between the 3<sup>rd</sup> character and the : are ignored. (Sometimes the third character specifies a format.)

THE KEYWORD MUST START IN THE FIRST COLUMN OR THE LINE IS IGNORED.

Case does not matter.

The data section of the input line goes from the colon to the end of the line and its contents depend on the keyword.

In a few cases the data section comprises multiple lines (i.e., always BL: and FA:, and sometimes others).

For example, the key characters for a fault are 'FA' and this has two arguments, the fault name and the fault number, so the following lines are correct:

fa: Java Trench 1

fault: JT1

fault (Java trench): JavaTr 1

FA: JT1

It is advisable and good practice to start comment lines with a space, \*, # or some other character outside the range A - Z (the program has many undocumented options and you may trigger one by accident).

```
smalley-14:costa rica example robertsmalley$ more cr.dfn
# Costa Rica example
# flag to set random number seed to 1 to reproduce test case
# delete from real runs
fl: +rs1
## name the model
Model: crc1
## where to store model parameters
pf: "crc1/pio" 3
## green's function controls
gd: gld 4 2 0 1.0 1.0 2000.
em:
# data from Lundgren et al. 1997, downweight it
gps data: LUND "lundgren 1997.vec" 1 2 0 0 0
# data from Norabuena et al. 2003
gps data: NORA "norabuena 2003.vec" 2 1 0 0 0
## rotate LUND data into NORA's Carib ref frame
qi: 1 2
# uplift rates from same
uplift data: "lundgren 1997.upz" 1
```

```
# slip vector data from quakes
sv data: costa rica.svs FORE COCO 10
## simulated annealing controls
sa: 0 40 0.0 1.0
## grid search controls
qs: 75 0.1 4 2
## run through inversion twice
ni: 2
## set flags: set downdip constraint, estimate parameter uncertainties, do forward run at end
flag: +ddc +cov +for
## solve for pole 3, the forearc
pi: 3
## interpolate faults with 4km x 4 km grid at end
in: 4 4
## CARI is reference frame
re: CARI
## starting poles
pole COCO-CARI: 2 21.9 -123.1 1.26
pole FORE-CARI: 3 9.0 273.8 1.55
# profiles to calculate
pr: 1 273.5 10.0 100 .03 45 30
pr: 2 274.09 9.36 100 .03 40 30
```

```
### Blocks ###
block: CARI 1
 9999
 271.1989 13.3500
 273.2309 16.0077
 280.5192
          10.5942
 278.6573 8.1589
 276.4709 9.7829
 274.8961
          10.7273
 272.6565
         12.2669
 271.1989
          13.3496
 9999 9999
block: FORE 3
9999
 271.1989 13.3496
```

## Continue till all blocks defined

```
275.0271 3.4103
 9999 9999
### Faults ###
ft: 1 1
Fault: MidAmTr 1
7 5 FORE COCO 1 0 0
 3.00
  275.5262 8.5473
  274.5473 9.0604
  274.2448 9.2626
  273.9441 9.4521
  273.6727 9.7100
  273.4306 9.9912
  272.7812 10.9354
 12.3
  275.7426 8.8303
  273.6500 12.0719
```

# 5 sections of 7 segments

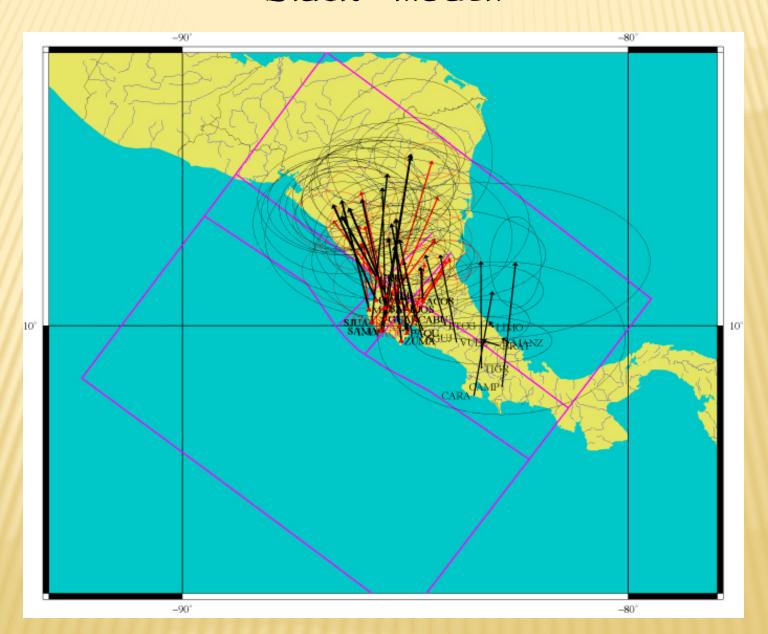
```
## node indices for fault 1
NNq: 1 7 5
1 1 1 1 1 1 1
 2 3 4 5 6 7 8
 9 10 11 12 13 14 15
16 17 18 19 20 21 22
0 0 0 0 0 0 0
## starting phi values corresponding to fault 1 node indices
NV: 1 1.0 .9 .9 .9 .4 .4 .4 .1 .1 .1
## near vertical fault along arc
fault: Arc SS 2
4 2 CARI FORE 1 0
0.0
  278.6573 8.1589
  276.4709 9.7829
  274.8961 10.7273
  272.6565 12.2670
zd: 15.0 88.0
## node indices for fault 2
## this fault will have uniform phi at all nodes
nn: 2 1 1 1 1 1 1 1 1
## starting phi values corresponding to fault 2 node indices
nv: 2 1.0
```

end:

# After running get a directory full of output.

rsmalley-14:crc1 robertsmalley\$ 1s					
<pre>crc1.fault_detail</pre>	crc1.poles	crc1.summary	crc1_blk3.gmt	crc1_model.input	crc1_sa.out
crc1.moment	crc1.res	crc1.svs	crc1_blocks.out	crc1_p01.out	loc2_dn.tmp
crc1.net	crc1.rot	crc1.ups	crc1_control.backup	crc1_p02.out	loc3_dn.tmp
crc1.nod	crc1.slp	crc1.vec	crc1_flt_atr.gmt	crc1_parameter.tmp	loc_dn.tmp
crc1.obs	crc1.str	crc1_blk.gmt	crc1_lin.gmt	crc1_pio.tmp	pio
crc1.omr	crc1.strain	crc1_blk2.gmt	crc1_mid.vec	crc1_removed.vec	

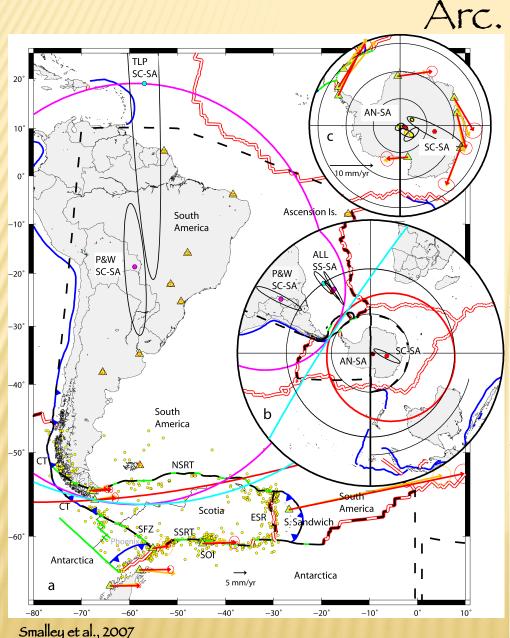
## Red – measurements Black – model.



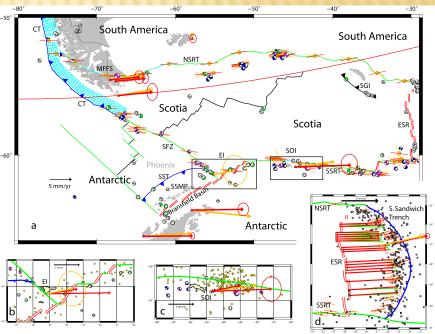
## Example II

Combine GPS and geologic data to estimate Euler pole for Scotia plate.

Results for GPS-Geologic combination for Scotia



Use Combination of GPS (velocity and azimuth, focal mechanisms (azimuth), Scotia-South Sandwich spreading.



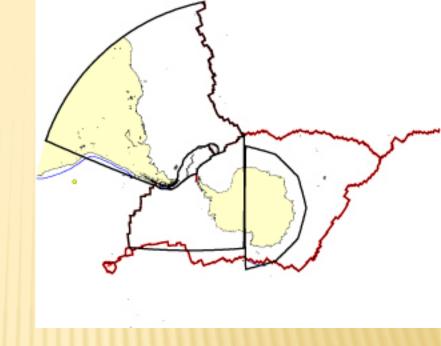
First - write a bunch of programs to make the input files.

## Erase old files. Set up environment variables. Make blocks.

```
rsmalley-14:defnode stuff robertsmalley$ more scot.sh
#!/bin/sh
EXP=scot
DEFNINFILE=SEXP.dfn
#erase stuff in output directories
#picks up greens functions in gsc and uses them - may be wrong, from previous different run, etc.
\rm -r SEXP
\rm -r gsc
\rm -r $EXP.vec
touch $EXP.vec
\rm -r ${EXP} no rescerr.vec
touch ${EXP} no rescerr.vec
DATA=/gaia/home/rsmalley/defnode stuff
#make block files from plate boundary data
#use breakitup to break up scot.pb.gmt file from UT into individual segments
#use anatwblock.sh, samblock.sh, scotblock.sh, ssandblock.sh to make the block files
#based on texas plate boundaries -- but too detailed and high freq -- making of
#blocks also filters and decimates and produces file that can be used to generate
#faults for defnode pulls out appropriate sections and puts in *.dfn
```

antwblock.sh samblock.sh scotblock.sh ssandblock.sh Blocks have to be closed polygons whose sides are traversed in order. You may have to piece them from pre-existing data files.

```
rsmalley-14:defnode stuff robertsmalley$ more antwblock.sh
#!/bin/sh
#goes cw around west antarctica block (antarctica - hanging wall - to right)
OF=newantw.block
\rm $OF
touch $OF
#remove first line (file id) from all files
echo 9999 >> $OF
sed '1,1d' scot.pb.gmt.03 | smoothbound 5 | nawk '{print $0, NR}' >> $0F
#also remove second line (first point) from 2nd through end file to not duplicate points
sed '1,2d' scot.pb.gmt.04 | smoothbound 15 | nawk '{print $0, NR}' >> $0F
sed '1,2d' scot.pb.qmt.11 | nawk '{print $0, NR}' >> $0F
sed '1,2d' scot.pb.gmt.12 | nawk '{print $0, NR}' >> $0F
#remove header line, reverse it, then delete new first point (or remove last pt
#before reversal)
sed '1,1d' scot.pb.gmt.08.orig | sed '1!G;h;$!d' | sed '1,1d' | nawk '{print $0, NR}' >> $0F
sed '1,1d' scot.pb.gmt.07 | sed '1!G;h;$!d' | sed '1,1d' | nawk '{print $0, NR}' >> $0F
#also remove second line (first point) from 2nd through end file to not duplicate points
sed '1,2d' scot.pb.gmt.09 | nawk '{print $0, NR}' >> $0F
cat samant.pb.gmt >> $OF
cat antsplit.pb.gmt >> $OF
#delete last 3 lines of chile ridge file
sed '$d' /qaia/home/rsmalley/ptect/f066 | sed '$d' | sed '$d' >> $0F
echo 9999, 9999 >> $OF
```



Blocks only have to agree with plates, etc. where there is data and/or you are trying to estimate behavior.

Blocks can't include pole as interior point - Antarctica composed of two blocks.

# Set flags for what to process.

```
if [ $selection = everything ]
then
echo everything
SAM SCO SV=1
SAN SCOT SV=1
SAM SAN SV tlp=1
SAM SAN SV mt tlp=1
ANT_SAN_SV=1
ANT SCO SV=1
NSR SYNTH SV=1
SCOT SAN SSV=1
SAN SCOT TA tlp=1
FAULTS=1
NSR SS=1
SSR SS=1
ANT B SAM=1
ANT B SCOT=1
```

## Define which poles to find. Put in geologic data (slip vectors)

```
#setup or rerun
#NEW=0
#with selection of solution - have to do setup each time
NEW=1
if [ $NEW = 1 ]
then
echo build ${DEFNINFILE}
\rm -r ${DEFNINFILE}
cat ${DEFNINFILE}.form > ${DEFNINFILE}
#pole 2 scotia, 3 sandwich, 4 antarctica
#echo pi pole: 2 3 4 >> ${DEFNINFILE}
echo pi pole: ${POLES} >> ${DEFNINFILE}
if [ $SAM SCO SV = 1 ]
then
echo eq slip vector data north scotia ridge paw, tlp and new, SAM SCO${SIGMA}.slip
echo sv: SAM SCO${SIGMA}.slip SCOT SAMR 1 >> ${DEFNINFILE}
echo sv: tdf1949.slip SCOT SAMR 1 >> ${DEFNINFILE}
fi
```

# Put in faults

```
if [ $FAULTS = 1 ]
then
echo add faults to ${DEFNINFILE}
#have to only include faults with GPS data
# makedefnodefault filename lowleftlon lowerleftlat upperrightlon upperrightlat faultdep dip faultno
                faultname hangingwall footwall
# cutdefnodefault
#have to be careful that fault goes correct direction hanging wall to right
#footwall correct and unique on fault
#define faults and put in dfn file
if [ $NSR SS = 1 ]
then
#newsam.block goes ccw around sam, bounding block on right - scot - is hangingwall - make go other way, switch
#11 strike slip on nsr
#sector of NSR corresponding to Magallanes-Fagnano fault
  cutdefnodefault newsam.block -75.9962 -51.8223 -60.0172 -53.6962 15. 89. 1 SAMR-SCOT SCOT SAMR >> ${DEFNINFILE}
# Greens function controls - directory name 3 char only, x spacing, down dip spacing, fault id #
  echo gd: gsc 20 15 1 >> ${DEFNINFILE}
 fi
```

Specify types output and positions to calculate it.

```
if [ $CALCRELVEC = 1 ]
then
   echo specify points to calc velocity A wrt B

#cant smooth scot-sand boundary easily
#sctually dont need to smooth to find ponts to determine vel
#(only need to smooth is want unailaised resampling or azimuth info)
if [ $SCOT_SAND = 1 ]
then
   nawk '{ print "fsp: SCOT SAND", $1, $2}' <<END>> ${DEFNINFILE}
-30.20 -57.39
-30.32 -57.29
.
.
.
.
.-29.62 -59.25
-29.59 -59.52
END
fi
```

Control file done, now work on input data.

echo build \${DEFNINFILE} done, now make gps input data file

#have to remove segment identifiers and duplicate points from the segments #the endpoints between adjacent segements are common, and defnode #does not want blocks closed

Prepare GPS files.

Have to rescale errors for defnode, but want to leave as are for plotting.

```
if [ $TDF_C = 1 ]
then
CRESCL=5
CRESCL=10
CRESCL=15
#CRESCL=45
#use tdf continuous stations - AUTF and PWMS - in plate boundary deforming zone
CFILES='tdf_gps_unscerr_c_good.vec'
for cfile in $CFILES
do
echo process cfile $cfile rescale errors $CRESCL
nawk '{print $1, $2, $3, $4, $5*'$CRESCL', $6*'$CRESCL', $7, $8}' ${DATA}/$cfile >> $EXP.vec
nawk '{print $1, $2, $3, $4, $5, $6, $7, $8}' ${DATA}/$cfile >> $EXP.vec
nawk '{print $1, $2, $3, $4, $5, $6, $7, $8}' ${DATA}/$cfile >> $EXP.vec
nawk '{print $1, $2, $3, $4, $5, $6, $7, $8}' ${DATA}/$cfile >> $EXP.no_rescerr.vec
done
fi
```

Prepare GPS files.

Finally run defnode.

defnode \${DEFNINFILE} \$EXP
echo done with defnode - make plots

- 1) Build control file, this includes definition of blocks (which can be quite complicated)
- 2) Prepare various data sets (slip vectors, transform azimuths, spreading directions and rates, GPS/VLBI/SLR/etc.).

(have to keep track of which information goes inside control file – typically geometry, slip, deformation (stuff not being modeled) – and which goes into data files – GPS, slip vectors, etc. (stuff being modeled).

3) Run it

