

More than 40 years of potentially induced seismicity close to the San Andreas fault in San Ardo, central California

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1 Abstract

2 Evidence for fluid injection-induced seismicity is rare in California hydrocarbon basins, despite wide-
3 spread injection close to seismically active faults. We investigate a potential case of injection-induced earth-
4 quakes associated with San Ardo oilfield operations which began in the early 50's. The largest potentially
5 induced events occurred in 1955 (M_L 5.2) and 1985 (M_w 4.5) within \sim 6 km from the oilfield. We analyze
6 SAR interferometric images acquired by Sentinel-1A/B satellites between 2016 and 2020, and find surface
7 deformation of up to 1.5 cm/yr, indicating pressure-imbalance in parts of the oilfield. Fluid-injection in
8 San Ardo is concentrated within highly-permeable rocks directly above the granitic basement at depth
9 of \sim 800 m. Seismicity predominantly occurs along basement-faults at 6 to 13 km depths. Seismicity and
10 wastewater disposal wells are spatially-correlated to the north of the oilfield. Temporal correlations are ob-
11 served over more than 40 years with correlation coefficients up to 0.71 for seismicity within 24 km distance
12 from the oilfield. Such large distances have not previously been observed in California but are similar to
13 the large spatial footprint of injection in Oklahoma. The San Ardo seismicity shows anomalous clustering
14 with earthquakes consistently occurring at close spatial-proximity but long inter-event times. Similar clus-
15 tering has previously been reported in California geothermal fields and may be indicative of seismicity
16 due to long-term, spatially-persistent external forcing.

17 The complexity of seismic behavior at San Ardo suggests that multiple processes, such as elastic stress
18 transfer and aseismic slip transients, contribute to the potentially induced earthquakes. The present ob-
19 servations show that fluid-injection operations occur close to seismically-active faults in California. Yet,
20 seismicity is predominantly observed on smaller unmapped faults with little observational evidence that
21 large faults are sensitive to induced stress changes.

²² **Keywords**

²³ induced seismicity, fluid injection, surface uplift, poroelastic stress, central California, San Ardo oilfield

2 Introduction

California's hydrocarbon basins contribute significantly to oil and gas production in the U.S. In 2015, the hydrocarbon industry in California involved 368,000 jobs and \$111 billion in economic output, making California the 4th largest oil producing state (*Sedgwick and Mitra, 2017*). Previous studies suggest that past oil production in California have led to induced earthquakes, dating as far back as 1947 and as recently as the 1987 (M_w 5.9) Whittier Narrows event (*Hough and Bilham, 2018; Hough et al., 2017; Kovach, 1974; McGarr, 1991*). More recently, enhanced oil recovery techniques and wastewater disposal led to a surge of seismic activity in the central U.S. and Canada (e.g. *Atkinson et al., 2016; Bao and Eaton, 2016; Ellsworth, 2013; Keranen et al., 2013*). Such a surge is absent in California, although isolated induced cases have been reported due to water flooding, hydraulic fracturing and wastewater disposal (*Goebel et al., 2015; Kanamori and Hauksson, 1992; Teng et al., 1973*). The most recent example was an earthquake swarm with events up to M_w 4.7 along the White-Wolf fault in 2005 (*Goebel et al., 2016*). Thus, in spite of the long history of using enhanced oil recovery techniques in California, the seismogenic impact has been modest (at least after \sim 1980) and is strikingly different from the recent wave of induced earthquakes e.g. in Texas and Oklahoma (*Göbel, 2015; Hauksson et al., 2015; Skoumal et al., 2020; Weingarten et al., 2015*).

The apparent lack of conspicuous induced events in California compared to the central U.S. is surprising in light of the close spatial proximity of oilfield operations and seismically active faults. While operational parameters (i.e. injection rates and pressures) are comparable between California and the central U.S. (*Göbel, 2015*), other factors may explain the lack of induced events. Examples of such factors are: 1) ambient stresses, 2) local geology (e.g. distance to basement, hydrological structure, pressure compartmentalization) and 3) difficulty in differentiating induced and natural earthquake clusters. The latter is a key issue in regions with high background seismicity rates. Induced event detection is further inhibited because induced events may occur at more than 30 km from wells (*Keranen et al., 2014*).

47 Many previous studies focused on near-well seismicity and fluid pressure effects as primary mech-
48 anism for induced earthquakes (*Healy et al.*, 1968; *Hsieh and Bredehoeft*, 1981; *Raleigh et al.*, 1976; *Shapiro*
49 *et al.*, 1997; *Shirzaei et al.*, 2016). However, more recent observations highlight the importance of additional
50 processes such as (poro)elastic stress changes which may be most pronounced at sedimentary injection
51 sites right above the crystalline basement (e.g. *Barbour et al.*, 2017; *Chang and Segall*, 2016; *Goebel and Brod-*
52 *sky*, 2018; *Segall and Lu*, 2015; *Zhai et al.*, 2019). Additional sources of elastic stress include, for example,
53 Coulomb stress changes from preceding ruptures as well as induced aseismic slip (*Bourouis and Bernard*,
54 2007; *Duboeuf et al.*, 2017; *Guglielmi et al.*, 2015; *Sumy et al.*, 2014).

55 Here, we focus on long-term seismic and oilfield records in San Ardo, central California, about 35 km
56 west of the Parkfield segment of the San Andreas fault. The region is well-instrumented and hosts some
57 of the largest wastewater disposal wells in California. We perform a detailed investigation of potentially
58 induced seismicity north and northwest of San Ardo. We first investigate spatial correlations between in-
59 jection and earthquakes and analyze the historic record of seismicity during the start of oilfield operations.
60 We then examine the local geologic and surface deformation data, and inspect potential temporal corre-
61 lations between injection and seismicity rates. Lastly, we discuss seismicity clustering characteristics and
62 underlying mechanisms of the observed earthquakes in light of spatial seismicity decay and space-time
63 migration.

64 **3 Method and Data**

65 **Seismic and hydrological data**

66 Monthly and annual injection and production data are publicly available from the California Division of
67 Oil, Gas and Geothermal Resources (DOGGR). We analyzed both monthly injection and production data

68 for each well and for the entire oilfield. These data are archived with some time lag and are presently
69 available between 1977 and 2017. In addition, we extracted the depth interval of injections and geologic
70 information from PDF-documents available through DOGGR.

71 Seismic data, including waveform records, phase data and earthquake catalogs, were obtained from the
72 Northern California Earthquake Data Center (NCEDC, 2014). We analyzed both the standard catalogs that
73 can directly be downloaded and created an improved catalog by joint event relocation. For this purpose,
74 we first relocated all events with sufficient phase picks between 1970 and 2020 using a local velocity model
75 (Waldhauser *et al.*, 2004) and the *NonLinLoc* program (Lomax *et al.*, 2009). We then relocated event clusters
76 based on relative travel-time differences. No waveform data are available before 1984, phase picks are
77 sparse, and location uncertainty, especially focal depths, are expected to be high (see below for details
78 about uncertainty estimates). Waveform and phase data became available for 27 stations within 30 km
79 and 72 stations within 50 km in 1984. We inspected the quality of waveform records and limit the analysis
80 to phase picks with high signal-to-noise within 30 km. We find that using an automated AIC (Akaike-
81 Information-Criterion) picker slightly improves phase picks compared to standard NCEDC picks. We
82 recomputed absolute locations and then determined relative locations from event cross-correlations and
83 differential travel times of clustered events using *GrowClust* (Trugman and Shearer, 2017). The combined
84 catalog that includes all relocated events from these different approaches is plotted in Fig. 1. Events that
85 formed clusters and were relocated with *GrowClust* are shown in the online supplement (Fig. S3).

86 The magnitude of completeness, M_c , for each catalog was determined by fitting magnitude distribu-
87 tions with the Gutenberg-Richter relationship and determining the magnitude cut-off that minimizes the
88 misfit between the exponential fit and observed distribution (Clauset *et al.*, 2009). We determine a value of
89 $M_c = 1.6$ (Fig. S4).

90 Geodetic analysis

91 We analyzed surface deformation across the San Ardo oilfield using a multi-temporal SAR interferometric
92 analysis (*Shirzaei, 2013*). The analysis integrates a set of 101 SAR images acquired by the Sentinel-1A/B
93 C-band satellites during November 2015 and January 2020 in descending frame 472 and path 42 (heading
94 $\sim 193.1^\circ$ and incidence $\sim 33.9^\circ$). We co-registered single look complex (SLC) images to a reference image,
95 using a standard matching algorithm and subsequently enhanced spectral diversity (ESD) (*Shirzaei et al.,*
96 2017). We applied a multi-looking factor of 32 and 6 in range and azimuth, respectively, to obtain SLC
97 images with pixel dimensions of $\sim 75 \times 75$ m. Using this dataset, we generated 404 high-quality interfer-
98 ograms (Fig. S5). We flattened each interferogram and removed the topographic phase using the Shuttle
99 Radar Topography Mission DEM and precise satellite orbital information (*Farr et al., 2007*). We identified
100 high-quality pixels by performing a statistical test on the time series of complex interferometric phase noise
101 which was estimated by wavelet multi-resolution analysis (*Shirzaei, 2013*). Next, we applied sparse phase
102 unwrapping using a Minimum Cost Flow (MCF) algorithm to obtain absolute phase changes (*Costantini,*
103 1998). To correct for the spatially uncorrelated atmospheric delay, we applied a filter based on 2D wavelet
104 multi-resolution. We solved for the surface deformation time-series using weighted least squares (*Shirzaei,*
105 2013). Lastly, we reduced residual atmospheric errors by applying a high-pass filter to the time series of
106 each pixel (*Shirzaei, 2013*) and computed long-term displacement rates by fitting a slope to the deformation
107 time-series.

108 4 Results

109 Seismicity along the Salinas basin and in the area between the San Andreas and Rinconada faults is gen-
110 erally sparse except for earthquake clusters close to San Ardo (Fig. 1 & S1). Here, seismic activity is

111 concentrated north and northwest of the San Ardo oilfield. The most notable feature is a roughly linear
112 earthquake cluster that extends from the oilfield boundary about 6 km north and includes four events
113 above $M4$ since 1970 (Fig. 1 & S3). The latest $M>4$ event occurred on an unmapped fault in 1985 and was
114 located roughly 6 km from the closest wastewater disposal well (Fig. 1).

115 Fluid-injection in the form of water flooding and steam injection occurs throughout the San Ardo oil-
116 field. wastewater disposal, on the other hand, is concentrated to the north of the oilfield, with only few
117 high-rate injectors to the east and south of the oilfield (Fig. 1). wastewater disposal wells in San Ardo oper-
118 ate at peak rates of more than $100,000\text{ m}^3/\text{mo}$. The disposal wells in San Ardo are some of largest injectors
119 in California hydrocarbon basins (99th percentile) (CA Department of Conservation, 2012) and comparable to
120 high-rate, earthquake-prone injectors within the central and eastern U.S. (Weingarten et al., 2015).

121 Earthquakes and disposal wells are closely correlated at the surface, however they occur at substan-
122 tially different depths. Much of the seismicity focuses at focal depths of 10 to 12 km (Fig. 1). Injection
123 activity, on the other hand, is generally shallow between 500 to 880 m, with perforation zones slightly
124 deepening toward the north. Average production depths are between 600 to 730 m (CA Department of
125 Conservation, 2012). We observe one shallower seismicity cluster at 6 to 8 km depths within 2 km surface-
126 distance from the injection wells and almost no seismicity above that. One deep cluster at ~ 14 km depth
127 is likely a result of insufficient station coverage and large event-station distances in the early 70's. This
128 is reflected in vertical location uncertainties of up to 5.3 km (3 km horizontal) prior to ~ 1980 and 2.6 km
129 vertical and 1.2 km horizontal errors after 1984.

130 [Figure 1 about here.]

131 Instrumental earthquake records for San Ardo extend back to 1930, allowing us to examine seismic
132 activity at the onset of oilfield operations. San Ardo is one of the most recently discovered oilfields in
133 California. The first well was drilled in 1947 but pervasive production and injection did not start until

134 1952 according to DOGGR records. The historic seismicity records include only three events between 1930
135 and 1952 when operations started (Fig. 2). The onset of operations is followed by an increase in seismic
136 activity within ~ 8 km from the oilfield including a $M_L 5.2$ event in 1955 and three $M > 4$ events in the mid
137 70's. This increase in seismic activity is not simply due to changes in station density because the number of
138 stations in the area remained roughly constant until 1966 (pers. comm. Stephane Zuzlewski, UC Berkeley,
139 Dave Oppenheimer, USGS). It should be noted that one $M 5$ event in 1932 was erroneously located close to
140 San Ardo in some earlier earthquake catalogs (e.g. *Poley, 1988*). This event has since been relocated closer
141 towards Point Sur in agreement with felt shaking effects (*Toppozada et al., 2002*) and is hence not considered
142 within this study.

143 [Figure 2 about here.]

144 More recent records from 1977 to 2017 highlight that oil production in San Ardo is correlated with oil
145 price variations, similar to other regions in California (*Göbel, 2015*). Elevated prices and oilfield activity
146 are observed until the mid-'80s followed by low activity throughout the 90's and steady increase in price
147 and produced oil until 2015 (Fig. S7). Wastewater disposal volumes were high until the mid-'80s and
148 showed a short period of low volumes between 1989 and 1995, followed by a steady increase until 2003
149 when disposal-volumes plateaued.

150 **4.1 Geology and surface deformation**

151 Oil production in San Ardo takes advantage of relatively shallow oil-sands east of the Hamas Valley Trough
152 which is located at the eastern flank of the Rinconada fault (Fig. 3). The oil sand formations are located
153 directly above the granitic basement at depths of less than 1 km. The upper basement appears to host
154 many fractures and faults based on seismic reflection imaging, however the extent and orientation of upper
155 basement faulting is largely unknown (*Menotti, 2014*). wastewater disposal is concentrated in the north of

156 San Ardo either in high-permeability oil-sands ($k = 2-8 \cdot 10^{-12} \text{ m}^2$, porosity=23-39%) or directly within the
157 fractured upper basement (CA Department of Conservation, 2012) (Fig. 3).

158 [Figure 3 about here.]

159 The relatively shallow injection activity is associated with surface uplift across the oilfield. This uplift
160 was first resolved in independent studies which focused on the neighboring creeping segment of the San
161 Andreas fault (Donnellan *et al.*, 2017; Jolivet *et al.*, 2015; Khoshmanesh and Shirzaei, 2018). We further explore
162 the effect of fluid injections on surface deformation, using long-term InSAR deformation rate between 2016
163 and 2020 (Fig. 4 & S6). Our results resolve peak line-of-sight (LOS) displacement rates of the imaging satel-
164 lite of $\sim 1.5 \text{ cm/yr}$. Displacements are highest in an area that is surrounded by many high-rate injection
165 wells. Cumulative LOS displacements were $\sim 5 \text{ cm}$ between 2016 and 2020. We validate the InSAR ob-
166 servations against GPS measurements, and determine a high accuracy of the velocity map with an overall
167 standard deviation of $\sim 2.3 \text{ mm/yr}$ of InSAR relative to GPS measurements (Fig. 4 B).

168 The observed deformation is an expression of pervasive fluid injection activity and provides a direct
169 indication of a poroelastic response associated with reservoir pressure increase. The largest displacements
170 appear shifted from the highest-rate wastewater disposal wells. This spatial shift is similar to observations
171 in east Texas, indicating that poroelastic deformation occurs where rocks are most compliant (Shirzaei *et al.*,
172 2016).

173 [Figure 4 about here.]

174 **4.2 Temporal correlation of seismicity and injection rates**

175 We inspect temporal correlations between injection/production and seismicity rates in San Ardo. Wastew-
176 ater disposal and seismicity rates appear correlated within certain time periods such as during a pro-
177 nounced minimum in the early 90's, followed by a strong rate increase until ~ 2003 (Fig. 5). To avoid

178 introducing biases from aftershock clustering in our analysis, we remove aftershocks within a fixed space-
179 time window based on mainshock magnitude (*Gardner and Knopoff, 1974*). This declustering removes
180 e.g. a local peak associated with the $M_{4.5}$ event in 1985. We compare the results from fixed space-time
181 declustering with a nearest-neighbor declustering method and find the catalogs to be identical except for
182 3 events. We examine the effects of regional, large-magnitude events ($M \geq 6$) i.e. the Coalinga, San Simeon
183 and Parkfield events and find little associated change in seismicity rates in San Ardo (Fig. 5).

184 [Figure 5 about here.]

185 We test the robustness of the initial observations by performing a more systematic temporal analysis of
186 seismicity and injection rates. For this purpose, we sample the earthquake data at increasing distances from
187 5 to 45 km and cross-correlate resulting seismicity and injection time series at peak lag-times of ± 40 month.
188 We test the significance of resulting correlations by Monte Carlo resampling of catalogs with random Pois-
189 sonian rates while conserving the original spatial and magnitude distributions. We find that correlations
190 are not statistically significant at smaller distances (< 8 km) as a result of insufficient number of earth-
191 quakes. Beyond that distance, correlation coefficients increase from 0.35 at 9 km to 0.71 at 24 km distance
192 (Fig. 6). At distances greater than 24 km, correlation coefficients start to decrease due to the inclusion of
193 more San Andreas fault events. Lag-times increase gradually from 13 to 17 months for increasing distances
194 from 9 to 24 km with seismicity following injection rate changes. Beyond 24 km, lag-times increase rapidly
195 to more than 30 months. Wastewater disposal and seismicity rates are more strongly correlated than other
196 operational parameters such as fluid production, water flooding and steam injection (Fig. S7).

197 [Figure 6 about here.]

198 The cross-correlation results highlight that seismicity and injection rates are strongly linked at large
199 scales, i.e. at up to 24 km distance from the oilfield. This observation mirrors results from Oklahoma. We
200 examine potential parallels between Oklahoma and San Ardo in more detail in the Discussion section.

201 4.3 Seismicity clustering characteristics

202 As an additional independent metric for potentially induced events, we analyze the space-time cluster-
203 ing of the local earthquakes compared to the overall seismicity in Northern California. We separate the
204 record of earthquake locations, origin times and magnitudes into clustered and background events. This
205 separation is based on nearest-neighbor event-pairs which are determined from inter-event distances and
206 times scaled by parent event magnitude (*Zaliapin and Ben-Zion, 2013*). The observed nearest-neighbor
207 distance distributions are compared with randomized Poissonian catalogs that have the same number of
208 events and magnitude distributions as the original catalogs. The resulting times-distance distributions can
209 be categorized into clustered events (i.e. aftershocks) at times and distances below the 99th percentile of
210 the randomized catalogs (gray dashed line in Fig. 7), and background at large distance. To avoid biases
211 due to different location procedures we use the standard NCEDC catalog for the analysis of earthquake
212 clustering.

213 We find notable differences in clustering characteristics between the overall Northern California cat-
214 alog and the local San Ardo events. For the Northern California seismicity, we observe two statistical
215 modes separated by a gray dashed line in Fig. 7. These modes highlight background events at large and
216 aftershocks at small space-time distances. The San Ardo seismicity differs from this behavior. The overall
217 density map is shifted to longer inter-event times due to comparably lower rates. The clustered mode is
218 still present at roughly the same rescaled distances ($\log R = -2.4$ to -1) but much less significant than
219 for the whole catalog. An additional mode is visible below the clustered event mode at larger inter-event
220 times but small distances. This mode is labeled *Induced* in Fig. 7 based on earlier results of induced event
221 detection in geothermal reservoirs (*Zaliapin and Ben-Zion, 2016*). The induced events are essentially oc-
222 ccurring at the same location but distributed over long-periods, which could be indicative of persistent
223 external forcing in the area. The overall seismicity clustering characteristics in San Ardo are consistent for

224 magnitudes of completeness between 1 and 2.

225 We assess whether the induced $R - \tau$ mode in San Ardo is uncommon for Northern California by ex-
226 amining 1D conditional distributions of inter-event times, τ at small distances R (i.e. $\log R \leq -2.5$). We
227 compare the observed conditional distribution for San Ardo with 1000 Monte-Carlo resampled earthquake
228 catalogs and assess differences in the distribution using a 2-sample KS-statistic. This test yields a signif-
229 icance level of $p=0.92$ for the third mode observed in San Ardo or in other words 8% of the sub-catalogs
230 show similar characteristics to San Ardo at small distances. The result indicates that the observations in
231 San Ardo are uncommon but not unique, i.e. other areas show similar characteristics of spatial clustering
232 over long periods. Such clustering is expected in areas with pervasive induced and natural swarm ac-
233 tivity such as the Geysers north of San Francisco and the Long Valley Caldera in eastern California. The
234 induced mode together with the more dominant background mode in San Ardo are in line with space-
235 time-magnitude clustering of induced events in geothermal reservoirs and may be a useful first indicator
236 of the presence of induced seismicity.

237 [Figure 7 about here.]

238 5 Discussion

239 Several observations indicate that the San Ardo earthquakes are induced while others support a tectonic
240 origin of the events. For instance, the depth separation between injection wells and earthquakes between
241 4 and 10 km is large and exceeds observations in other places. Previously, large focal depths were thought
242 to preclude an induced origin of seismic events (e.g. *Davis and Frohlich, 1993*). However more recent obser-
243 vations highlight that large volume, low pressure fluid disposal operations can lead to seismicity at large
244 distances and depths (*Chen et al., 2018; Goebel et al., 2017a; Keranen et al., 2014; Schoenball and Ellsworth, 2017*).
245 Tectonic seismicity-clusters occur throughout California and are not always bound to mapped faults. The

246 San Ardo seismicity may be no exception. Nevertheless, several observations suggest a link between oil-
247 field operations and the earthquakes.

248 We observe both strong temporal and spatial correlation between seismicity and wastewater disposal in
249 the northern part of the oilfield. Injection occurs in close proximity to the granitic basement which has been
250 identified as a particularly problematic depth for injection operations (*Goebel and Brodsky, 2018; Hincks et al.,*
251 *2018; Horton, 2012; Skoumal et al., 2018*). The injection rates in San Ardo are high, comparable to seismogenic
252 injection in the central U.S.. High-volume and high-rate injectors are expected to increase the probability
253 of measurable seismogenic effects (*McGarr, 2014; Weingarten et al., 2015*). Lastly, the seismicity clustering
254 in space and time is comparable to observations of induced events in geothermal fields (*Schoenball et al.,*
255 *2015; Zaliapin and Ben-Zion, 2016*). Such clustering is particularly interesting because it may provide a way
256 to detect induced sequences without any knowledge of oilfield operations.

257 Induced seismicity in California is difficult to detect outside of geothermal reservoirs. We show that
258 the combination of long-term seismic, hydrological and geodetic records can be useful in evaluating poten-
259 tially induced events. Previous studies in California hydrocarbon basins mainly reported subsidence due
260 to production (e.g. *Jolivet et al., 2015; Kovach, 1974*), whereas we present novel observations of significant
261 surface uplift. This highlights that fluid volumes are not always balanced, leading to increasing reservoir
262 pressure and poroelastic expansion.

263 **5.1 Comparing induced seismicity in San Ardo and Oklahoma**

264 The here observed seismicity and injection characteristics show several similarities to observations in Ok-
265 lahoma: 1) For both Oklahoma and San Ardo, injection rates seem to be best correlated with seismicity at
266 large distances, i.e. out to ~ 24 km in San Ardo and >30 km in Oklahoma. In Oklahoma, recent results
267 highlight that injection and seismicity rates are strongly correlated at basin-wide scales (*Langenbruch and*

268 *Zoback, 2016; Zhai et al., 2019*). These correlations deteriorate quickly at the scale of individual sequences.
269 A possible explanation for this observation is that local crustal heterogeneity controls earthquake rates
270 at small scales whereas the large-scale response is controlled by external forcing, i.e. induced stress and
271 injection rates.

272 2) We observed a significant time-lag of 13 to 17 months between injection and seismicity rates which
273 is comparable to time-lags of 10 to 21 months for large-scale correlations in Oklahoma (*Chen et al., 2017;*
274 *Goebel et al., 2017b; Zhai et al., 2019*).

275 3) Injection may occur much shallower than associated seismicity. For example, the Pawnee earth-
276 quakes in 2016 were linked to injection between 1300 and 1900 m while seismic activity occurred between
277 5 to 7 km depths (*Barbour et al., 2017; Yeck et al., 2017*).

278 4) Injection operations include many high-rate wells that take advantage of high-permeability layers
279 above the crystalline basement (*Hincks et al., 2018; Keranen et al., 2014; Weingarten et al., 2015*).

280 The present study confirms that local geologic setting may be a key driver in elevating induced seismic
281 hazard (*Hincks et al., 2018; Skoumal et al., 2018*). Based on this result, we suggest that the deep sedimentary
282 basin within the Central Valley, CA could be a safer place for injecting wastewater than San Ardo. This
283 may be similar for other deep basins such as Ventura basin, however more studies are needed to assess
284 induced seismic hazards.

285 **5.2 Physical mechanisms for the potentially induced earthquakes**

286 One particularly unexpected observation in San Ardo is the large vertical separation between injection and
287 earthquakes, which is hard to reconcile with fluid pressure diffusion and effective stress reduction. As a
288 consequence, a combination of mechanisms is required to explain the potentially induced origin of the
289 earthquakes.

290 One such explanation is that fault damage zones can act as flow conduits which facilitate pressure
291 diffusion to large depths (Goebel *et al.*, 2016; Hornbach *et al.*, 2015; Ogwari and Horton, 2016; Zhang *et al.*, 2013).
292 This mechanism hinges on wide-spread hydraulic connectivity and consistently-high fault permeability
293 from the point of injection to seismogenic depths.

294 Other explanations include the effect of elastic stress transfer processes. The observed surface uplift
295 supports a poroelastic expansion of the reservoir which would create elastic stresses that decay as a power-
296 law outside of the oilfield (Goebel *et al.*, 2017a; Helm, 1994; Segall and Lu, 2015; Wang, 2000). Elastic stresses
297 are a result of pore pressure increase at undrained conditions, leading to fault activation even without
298 direct hydraulic connectivity (Wang, 2000). The seismicity in San Ardo shows a power-law spatial decay
299 between 2 to 20 km with an exponent of $\sim r^{-1.8}$ (Fig. 8). The same power-law exponent has been observed
300 for induced seismicity associated with isolated injection wells (Goebel and Brodsky, 2018).

301 [Figure 8 about here.]

302 In addition, elastic stress transfer from aseismic processes may contribute to the observed seismicity
303 (Guglielmi *et al.*, 2015). This is supported by intermittent linear space-time migration at distances of 1 to
304 6 km from the wells (Fig. 9). Near-well regions within ~ 1.5 km from the oilfield are largely aseismic with
305 few seismic episodes. Beyond this zone, seismicity sequences form 1.5 to 4 km long linear streaks with mi-
306 gration velocities between 20 m/dy and 1 km/dy (black arrows in Fig. 9). Migration velocity at other sites
307 with injection induced seismicity, such as Paralana, Soultz, St. Gallen, Basel and Paradox Valley fall within
308 a similar range of meters to hundreds of meters/day (Bourouis and Bernard, 2007; Goebel and Brodsky, 2018;
309 Kraft and Deichmann, 2014). Aseismic slip during shallow controlled injection experiments can even be one
310 order of magnitude slower (Guglielmi *et al.*, 2015). The observed linear clusters are markedly different from
311 classic square-root migration associated with diffusive processes (Shapiro *et al.*, 1997).

312 [Figure 9 about here.]

313 While higher pore pressure generally moves faults closer to failure, resulting fault-slip may not always
314 be seismic. Previous controlled injection tests confirm that high fluid pressures favor stable sliding over
315 unstable stick-slip (Cappa *et al.*, 2019; Guglielmi *et al.*, 2015). In these experiments, fault slip within the pres-
316 surized region close to injection-wells did not produce seismic events due to rate-strengthening effects
317 and increase of critical fault stiffness due to higher pore pressures (Cappa *et al.*, 2019; Scuderi and Collettini,
318 2016). Observations in San Ardo provide some support for this model, i.e. predominantly aseismic behav-
319 ior within 1.5 km from the oilfield followed by linear seismicity migration at larger distance either due to
320 elastic stresses or seismic asperities (Bourouis and Bernard, 2007).

321 **5.3 Different classes of induced seismicity**

322 Not all induced earthquake sequences are equal and respective differences in space-time-magnitude char-
323 acteristics support a classification into different groups of induced events. We differentiate two end-
324 member groups:

325 1) Induced events that occur in tight clusters around injection wells which operate at high pressures
326 within low permeability formations e.g. within the crystalline basement or tight shales. Such operations
327 may include hydraulic stimulation (e.g. hydro-shearing and hydraulic fracturing) with rapid onset and
328 arrest of seismicity sequences (e.g. Brown *et al.*, 2012; Dempsey *et al.*, 2016; Raleigh *et al.*, 1976; Shapiro *et al.*,
329 1997, 2006). Compact induced seismicity clusters commonly show high Gutenberg-Richter b -values, pro-
330 nounced square-root migration patterns and rapid spatial decay (Eaton *et al.*, 2014; Goebel and Brodsky, 2018;
331 Maxwell *et al.*, 2009). Aftershock productivity and triggering potential is generally lower than for natural
332 earthquakes (Maghsoudi *et al.*, 2018; Zaliapin and Ben-Zion, 2016). The expected maximum magnitude of
333 events is closely related to the size of the stimulated reservoir (Eaton *et al.*, 2014; Shapiro *et al.*, 2011).

334 2) Spatially extensive induced clusters at large distances and depths from wells can occur many months

335 after injection operations (Ogwari et al., 2018). Such seismic events are typically associated with high-
336 volume, low-pressure wastewater disposal in permeable reservoirs, located directly above the crystalline
337 basement (Hincks et al., 2018; Skoumal et al., 2018). The induced events show power law spatial decay from
338 injection wells, and b -values close to or even below unity (Goebel et al., 2016; Huang and Beroza, 2015; Skoumal
339 et al., 2014). Aftershock productivity may be higher than for tectonic events (Goebel et al., 2019; Llenos and
340 Michael, 2013). The presence of far-reaching elastic effects suggests that the maximum magnitude is only
341 limited by the regional tectonic maximum event size (van der Elst et al., 2016).

342 In principle, the differences in seismicity statistics of unfolding induced earthquake sequences allow
343 for a separation into these two different groups. However, transitions between group 1) and 2) are ex-
344 pected e.g. for multi-stage lateral hydraulic fracturing and accidental activation of a near-by fault (Igonin
345 et al., 2018; Kim et al., 2018; Maxwell et al., 2009). Such transitions can complicate an exact classification
346 of induced sequences in some cases or at least require extensive, high-resolution seismic records. When
347 possible, distinguishing groups 1) and 2) based on dominant seismicity characteristics will improve the
348 understanding of underlying physics and associated seismic hazard.

349 6 Conclusion

350 Detailed analysis of more than 40 years of high-resolution seismic data in close proximity to San Ardo oil-
351 field, central California, indicates a potential connection between wastewater disposal and seismic activity.
352 The seismicity shows significant spatial-temporal correlations with fluid injection. Temporal correlations
353 are strongest for events within 24 km from the disposal wells which is also the distance to the near-by
354 San Andreas fault. We find that seismicity clustering, specifically at small inter-event distance but long
355 inter-event time, is anomalous compared to average behavior in Northern California. Similar cluster-
356 ing characteristics have been observed for induced events in geothermal reservoirs. Criteria that elevate

357 injection-induced seismic hazard in California and elsewhere are: 1) Injection directly above basement, 2)
358 high-rate, broad-scale injection into permeable zones, and 3) the presence of tectonically-stressed faults.
359 These criteria may help guide future fluid-injection operations.

360 While California does not exhibit as many induced earthquakes as the central U.S., the state allows
361 for high-resolution long-term studies which can help mitigate induced seismic hazards by resolving the
362 underlying physical processes. Several factors may have contributed to the earthquakes near San Ardo.
363 The observed spatial seismicity decay approximately matches power-law elastic stress decay outside of
364 a pressurized reservoir. In addition, linear seismicity migration may indicate aseismic slip transients,
365 associated with episodic earthquakes at seismic asperities.

Data and Resources

Sentinel-1 SAR data were obtained through Alaska Satellite Facilities (asf.alaska.edu). GPS data are obtained from Nevada Geodetic Laboratory (<http://geodesy.unr.edu/>). Waveform data, metadata, or data products for this study were accessed through the Northern California Earthquake Data Center (NCEDC), [doi:10.7932/NCEDC](https://doi.org/10.7932/NCEDC). Oilfield operational data for California is archived by DOGGR (secure.conservation.ca.gov/WellSearch). The online supplement of this article includes an animation of seismicity and injection operations as well as a PDF document with additional figures of seismicity, oilfield operations and surface velocities.

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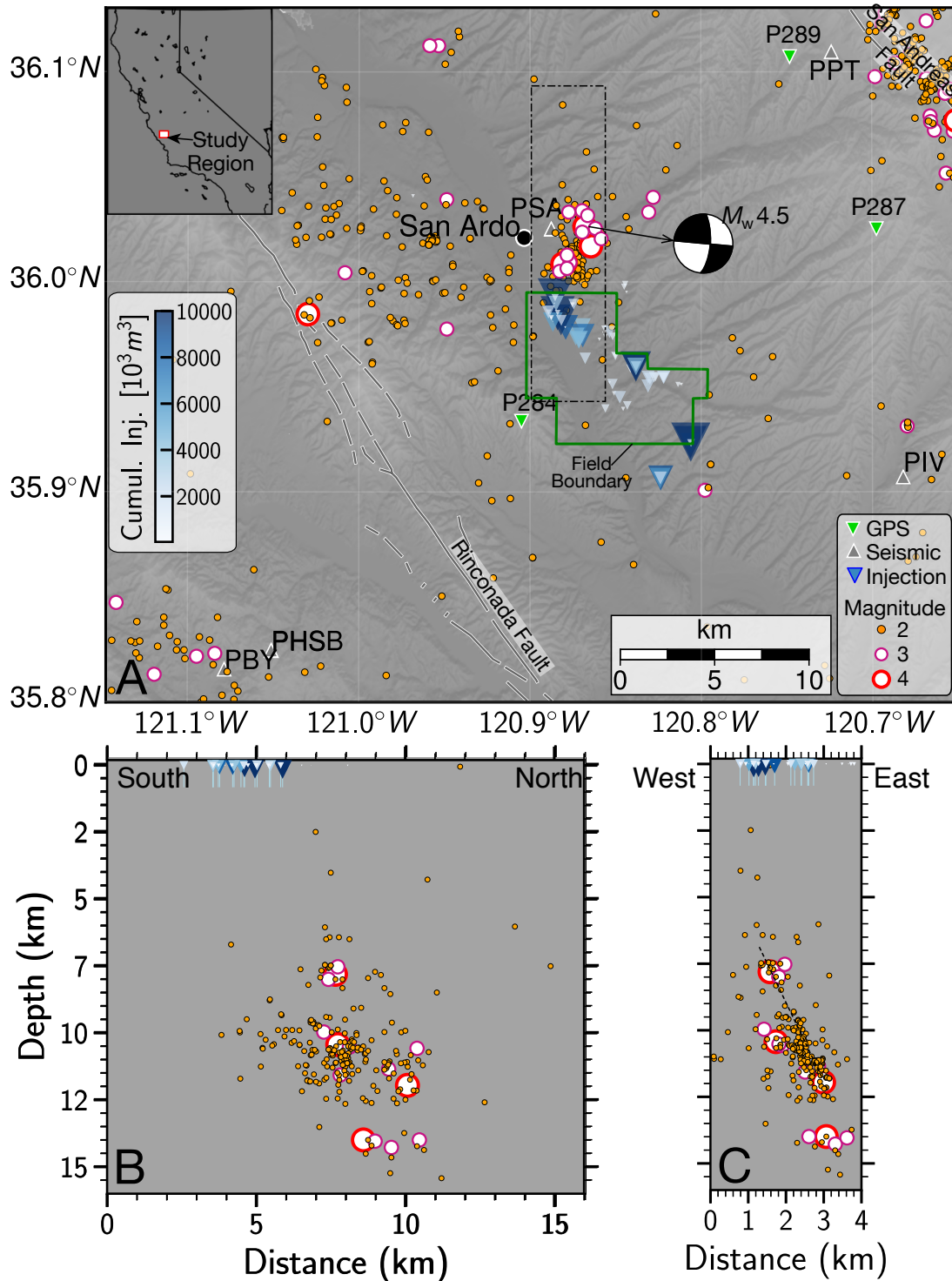


Figure 1: Seismicity and injection wells close to San Ardo after 1970. A: Map of earthquake locations (colored circles, see legend), focal mechanism of the 1985 $M_w 4.5$ event (indicating right-lateral slip on a N-S striking fault), San Ardo oil-field boundary (green polygon), injection well locations (blue triangles, see color bar for injection volumes), GPS stations (green triangles) and seismic stations (gray triangles). The Rinconada and San Andreas faults are labeled to the south-west and north-east of San Ardo. B & C: Depth cross-sections of the seismicity north of the oilfield (dashed rectangle in A). The black dashed line in C highlights the dip of the $M_w 4.5$ event. (see also S1)

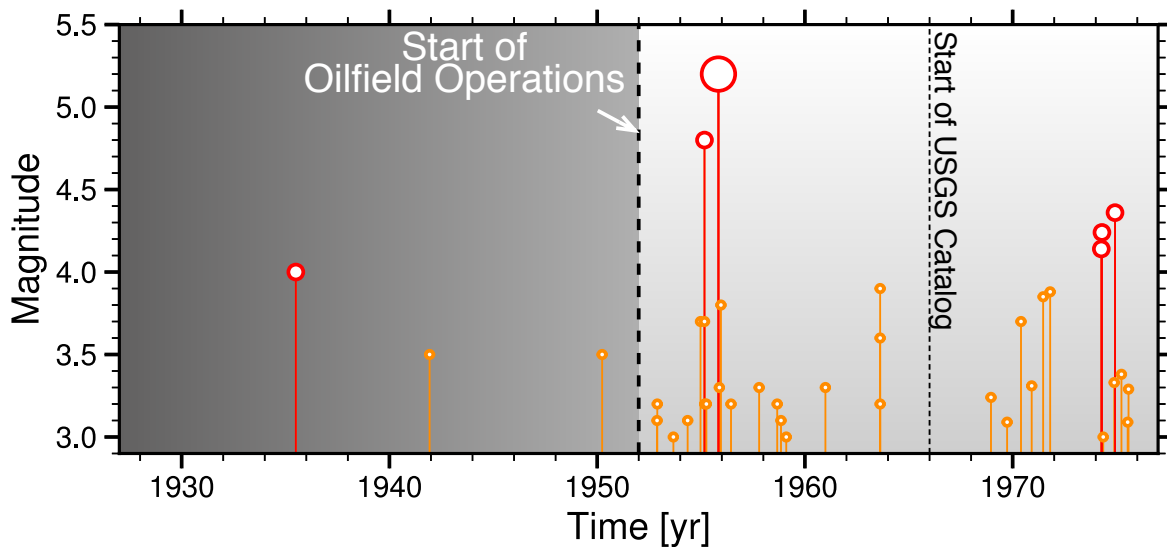


Figure 2: Earthquake activity within 12 km of San Ardo between 1930 and 1978. Larger scale oil-field operations started ~ 1952 followed by a slight uptick in seismic activity. The historic seismicity catalog does not suggest temporal main/aftershock clustering.

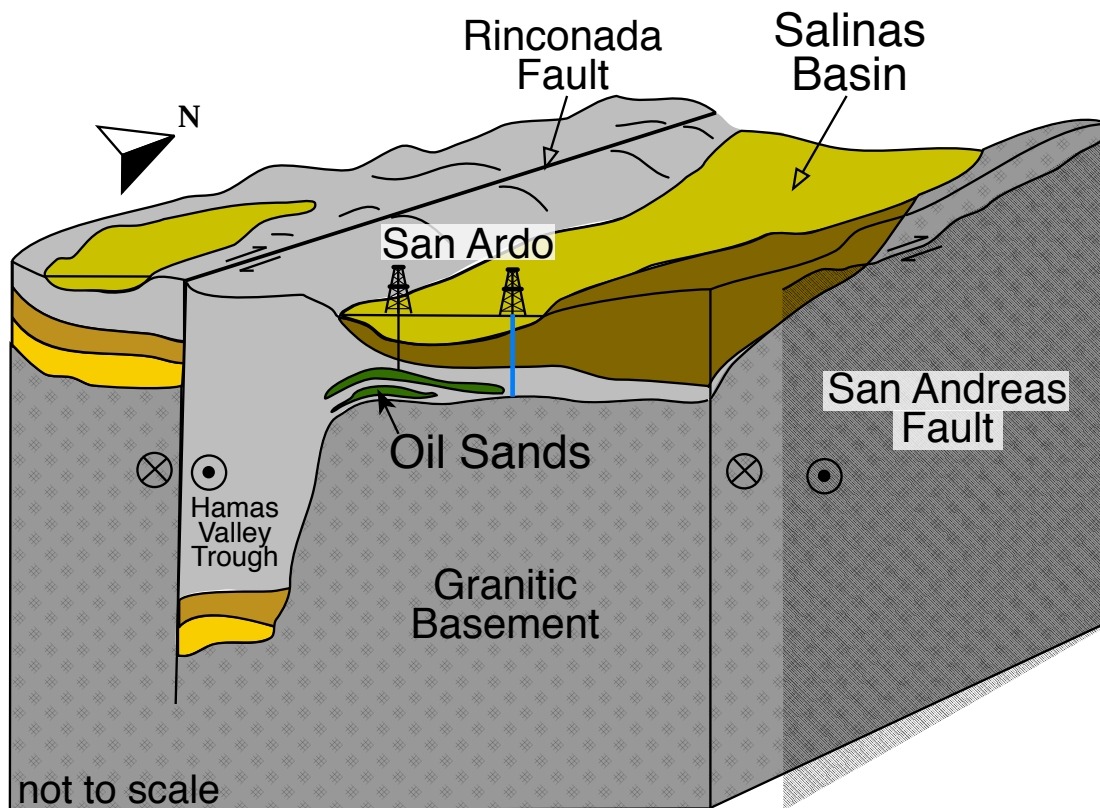


Figure 3: Geologic setting at the southern end of Salinas basin for a west-east transect through the San Ardo oilfield. Oil-bearing sands are highlighted in green, granitic basement in dark gray and shallow sedimentary layers in light gray, yellow and brown colors. (modified from *Menotti, 2014*). Fluid injection occurs right above the granitic basement in the northern part of the oilfield.

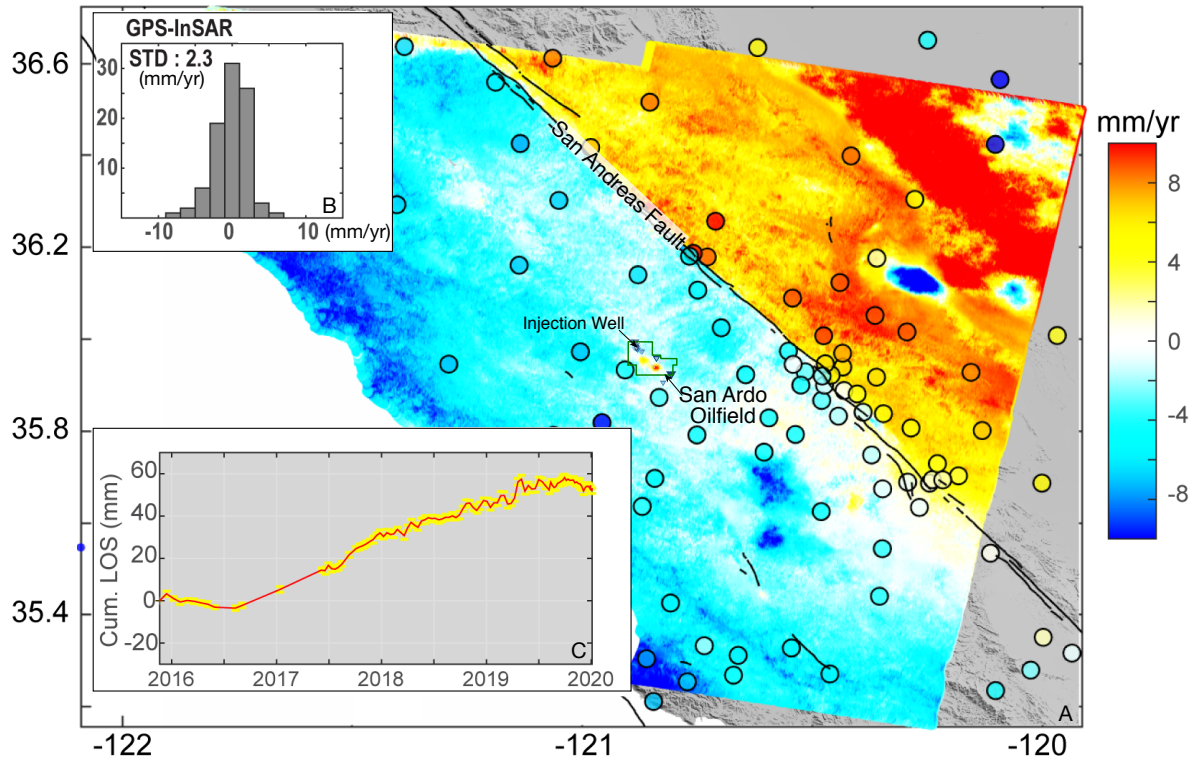


Figure 4: Line-of-sight (LOS) velocities and displacements associated with injection activity in San Ardo. A: InSAR results (see legend) and local GPS measurements (black circles) with 3D displacement projected onto the line-of-site direction of the imaging satellite. The velocity map is dominated by the left-lateral shearing along the San Andreas Fault. The San Ardo oilfield exhibits the strongest positive LOS velocity west of the San Andreas fault. (see Fig. S6 for a close up) B: The histogram shows the differences between InSAR and GPS with a standard deviation of 2 mm/yr. C: InSAR displacement time series toward the center of the oilfield between 2016 and 2020. The yellow envelope indicates the 1-sigma error range.

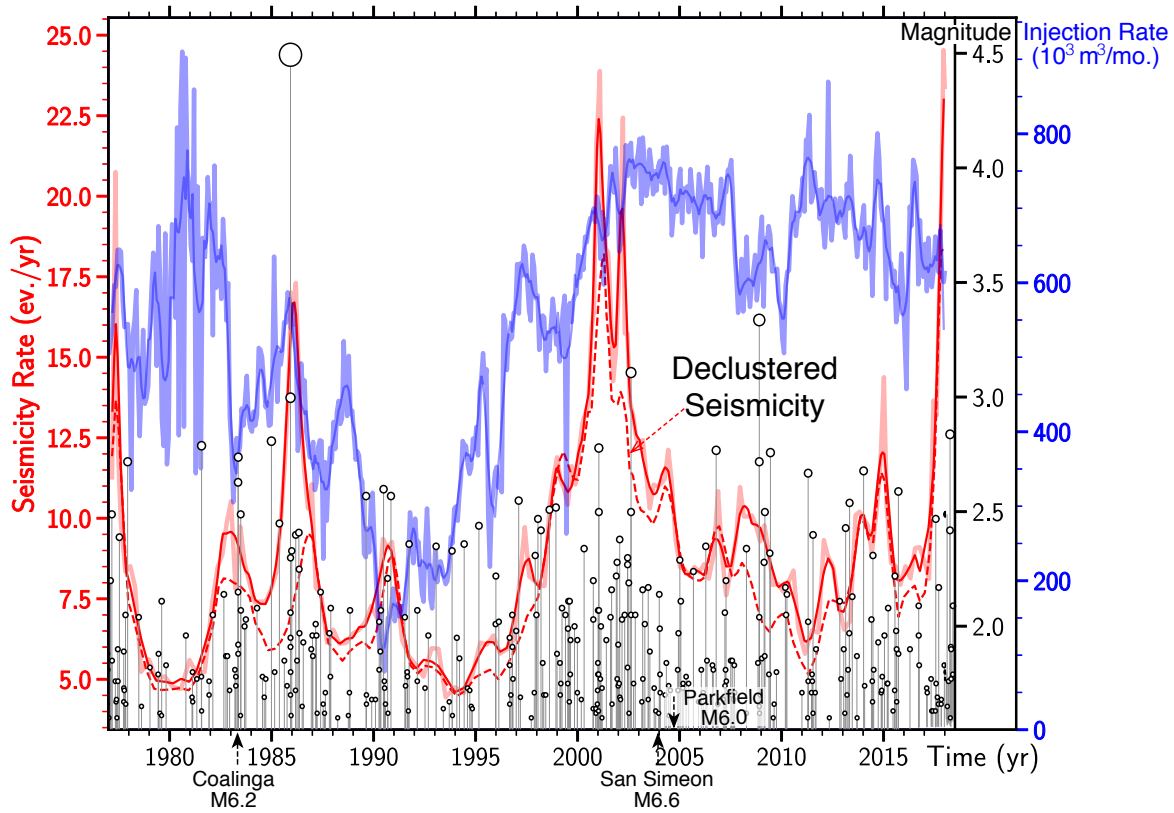


Figure 5: Seismicity (red curve) and fluid injection (blue curve) rates within 20 km of the San Ardo oilfield between 1975 and 2019. Event magnitudes are shown by black circles and declustered seismicity rates are highlighted by a dashed red line. Seismicity rates are determined for events above the magnitude of completeness ($M_c=1.6$). The largest events during this period are a M4.5 earthquake in 1985 and a M3.3 event in 2008.

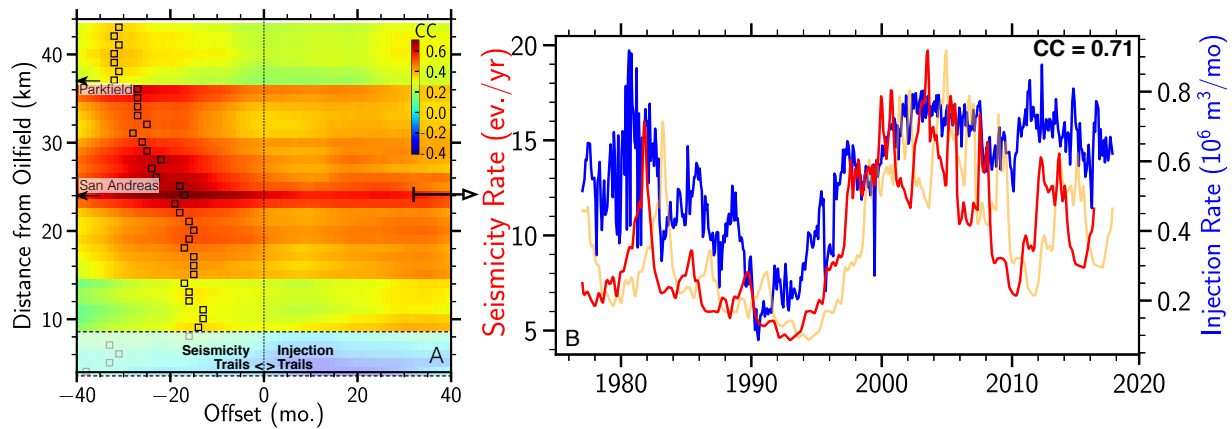


Figure 6: Left: Cross-correlation coefficients (see color bar) for injection and seismic events at increasing radii from the oil-field (y-axis) as a function of lag-time (x-axis). Correlation coefficients increase gradually for larger seismic records out to 24 km at which the San Andreas fault is located. At larger distances, correlations decrease due to the inclusion of San Andreas fault and Parkfield events. Cross-correlation results are significant at distances beyond 8 km. Right: Seismicity (red) and injection (blue) rates for events within 24 km from San Ardo. Orange curve shows original seismicity rates and the red curve shows shifted rates that maximize the cross-correlation coefficient (CC=0.71).

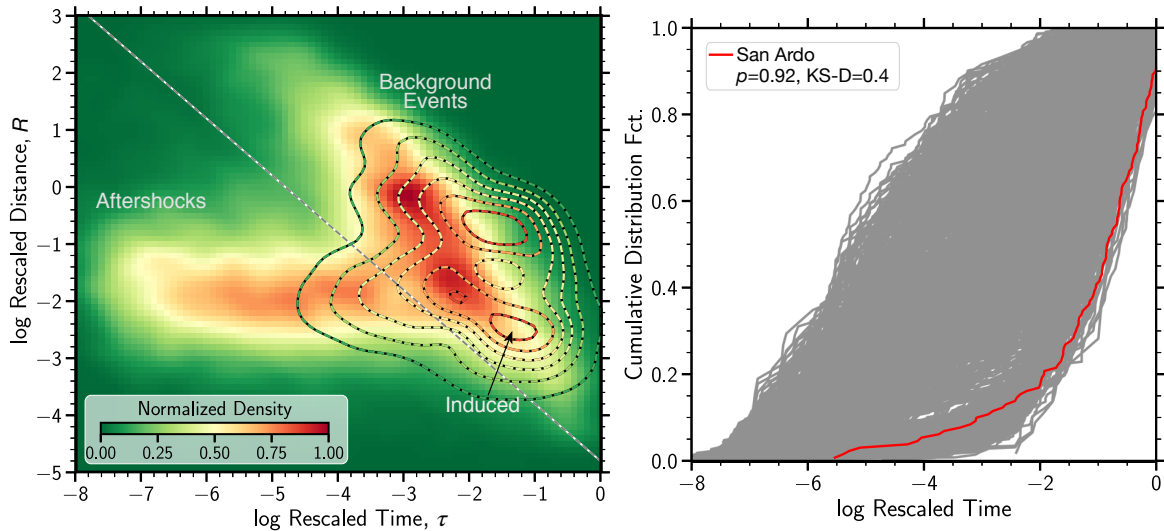


Figure 7: Left: Rescaled distance and time for nearest-neighbor event pairs for the entire Northern California earthquake catalog (color map) and solely for San Ardo seismicity (contours). The NC catalog shows two dominant statistical modes associated with clustered events at small inter-event times and distances and a background mode at large distances and times. These two modes are separable by comparison with randomized catalogs (gray dashed line). The San Ardo seismicity exhibits a third mode at close distances which is characteristic for induced events (*Zaliapin and Ben-Zion, 2016*). Right: Conditional inter-event time distributions at small distances ($\log R \leq -2.5$) for Monte Carlo resampled catalogs (gray) and observed distributions in San Ardo. The San Ardo seismicity falls within the 92nd percentile of the overall catalog variability at small R .

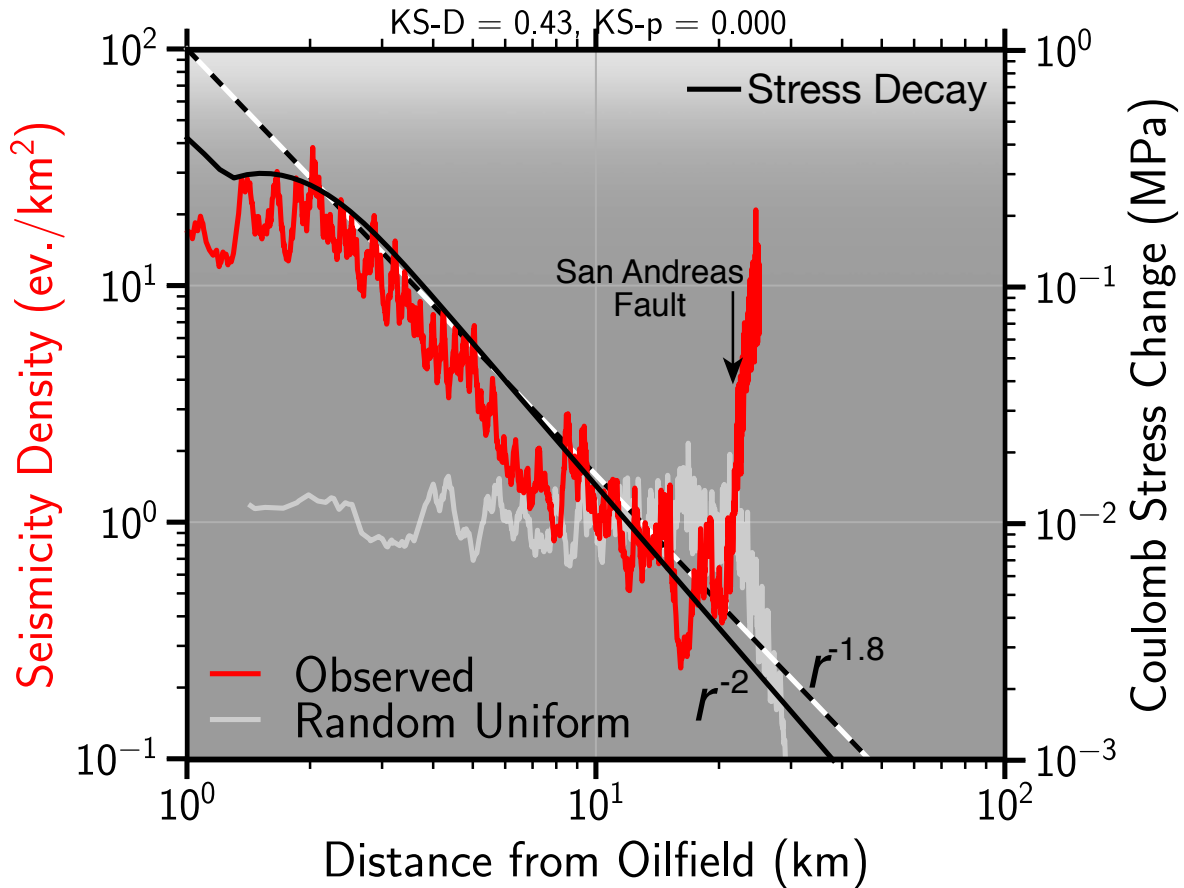


Figure 8: Seismicity density decay (red) as a function of surface distance from the San Ardo oilfield, compared to spatially uniform seismicity (gray). The spatial decay is roughly in agreement with observed power-law decay of earthquakes from single injectors which decay as $r^{-1.8}$ (white dashed line) (Goebel and Brodsky, 2018). The black line shows the stress decay from an analytical poroelastic model of fluid injection in a vertically confined reservoir with spatial decay of r^{-2} . Seismicity density increases rapidly at the distance of the San Andreas fault.

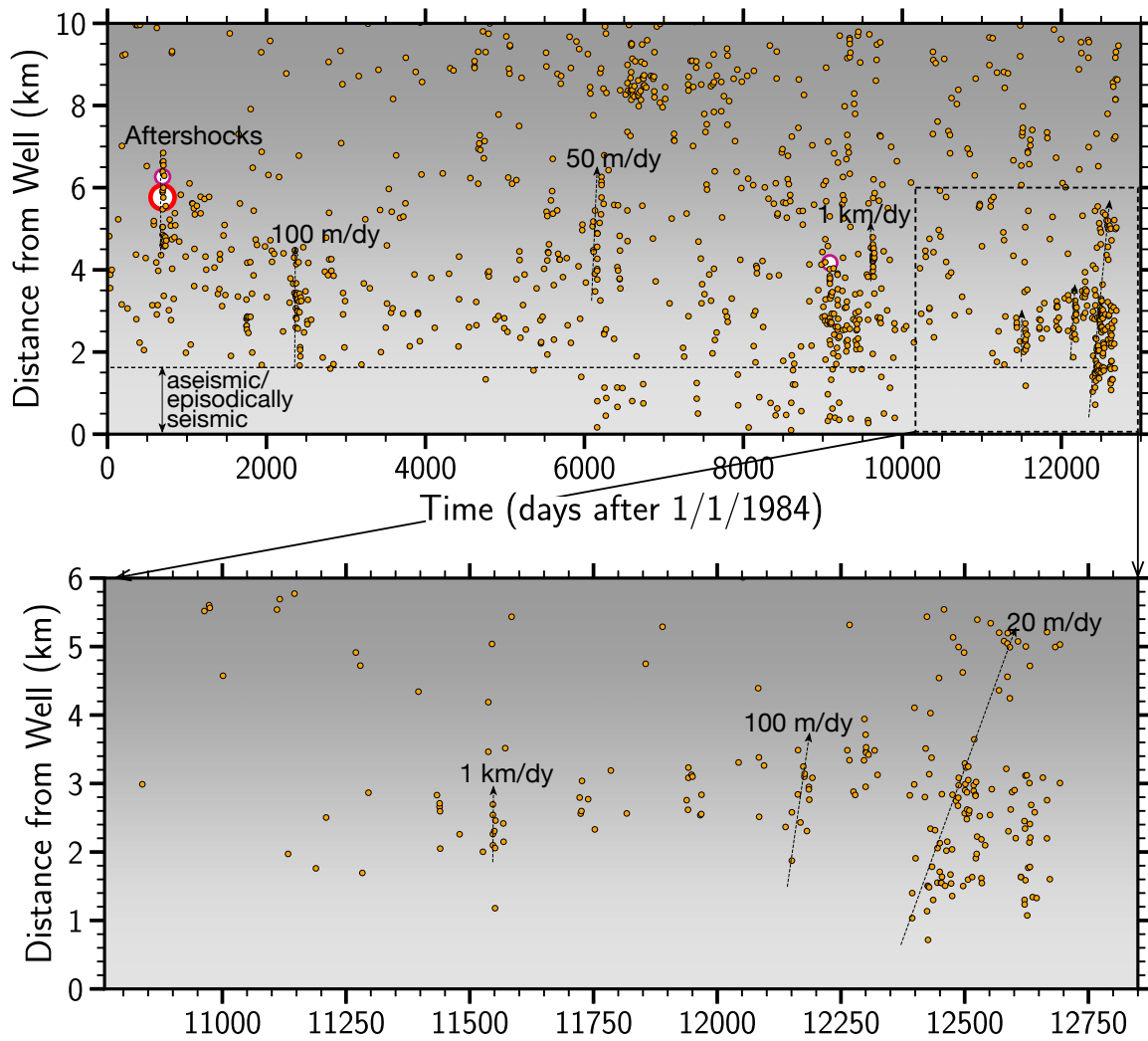


Figure 9: Seismicity within 10 km from the San Ardo oilfield between 1984 and 2018. Earthquakes are colored according to magnitude (orange: $M < 3$, magenta: $M 3$, red: $M 4$). The immediate zone within 1 to 2 km from the injection wells is predominantly aseismic. Seismicity clusters that indicate linear migration are highlighted by black arrows. Migration speeds may range from 0.02 to 1.0 km/dy.

Supplementary Material: More than 40 years of potentially induced seismicity close to the San Andreas fault in San Ardo, central California

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September 26, 2020

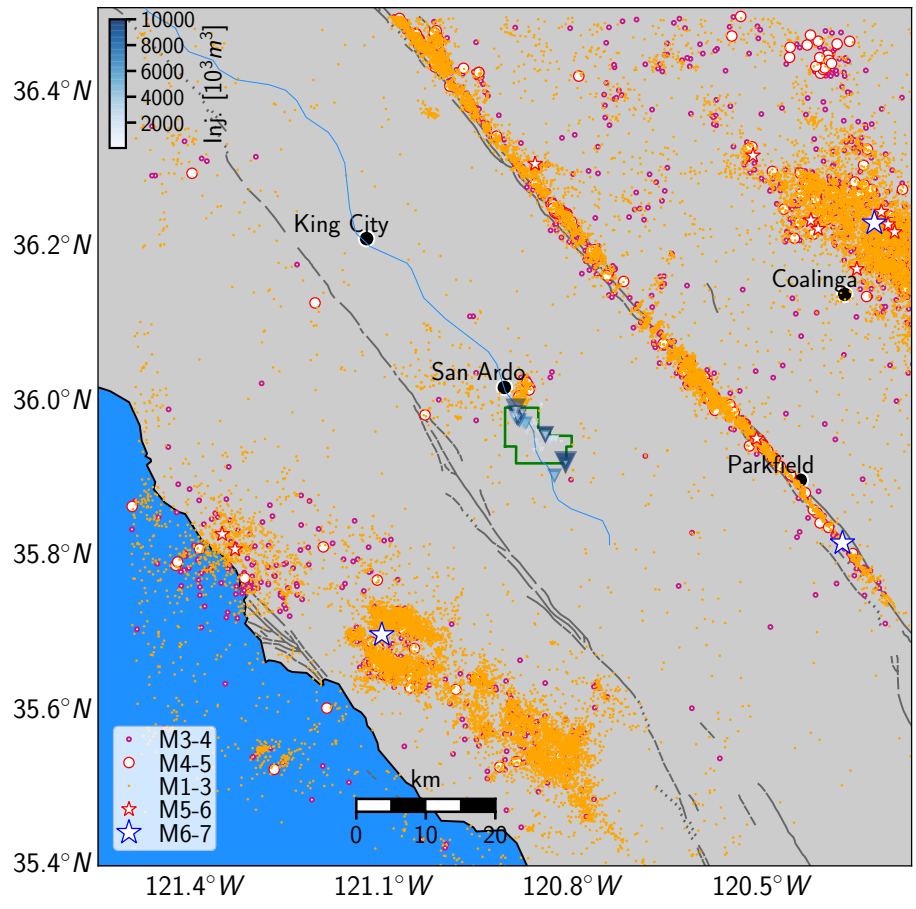


Figure S1: Seismicity, faults and injection well locations within coastal central California. Earthquakes are colored according to size (orange: $M > 3$, magenta: $M3 - 4$, red circle: $M4 - 5$, red star: $M5 - 6$, blue star: $M \geq 6$). The largest events from North to South are the 1983 Coalinga, the 2004 Parkfield and the 2003 San Simeon events. Waste water disposal wells are shown by blue triangles and the San Ardo oilfield is highlighted by a green polygon.

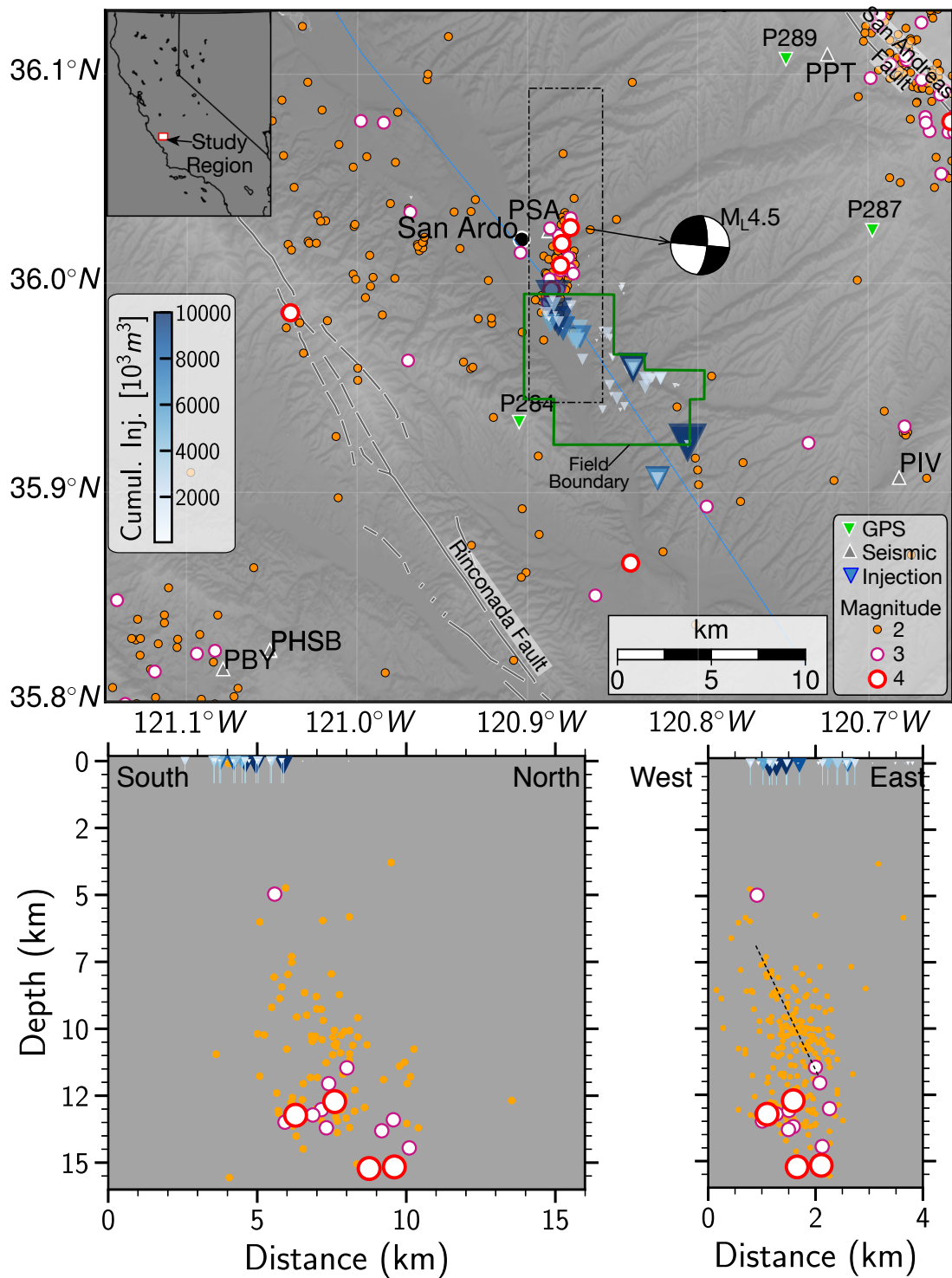


Figure S2: Same as Figure 1 in the main text but for seismicity from the combined historic Berkeley and NCEDC catalogs from 1930 to 2020.

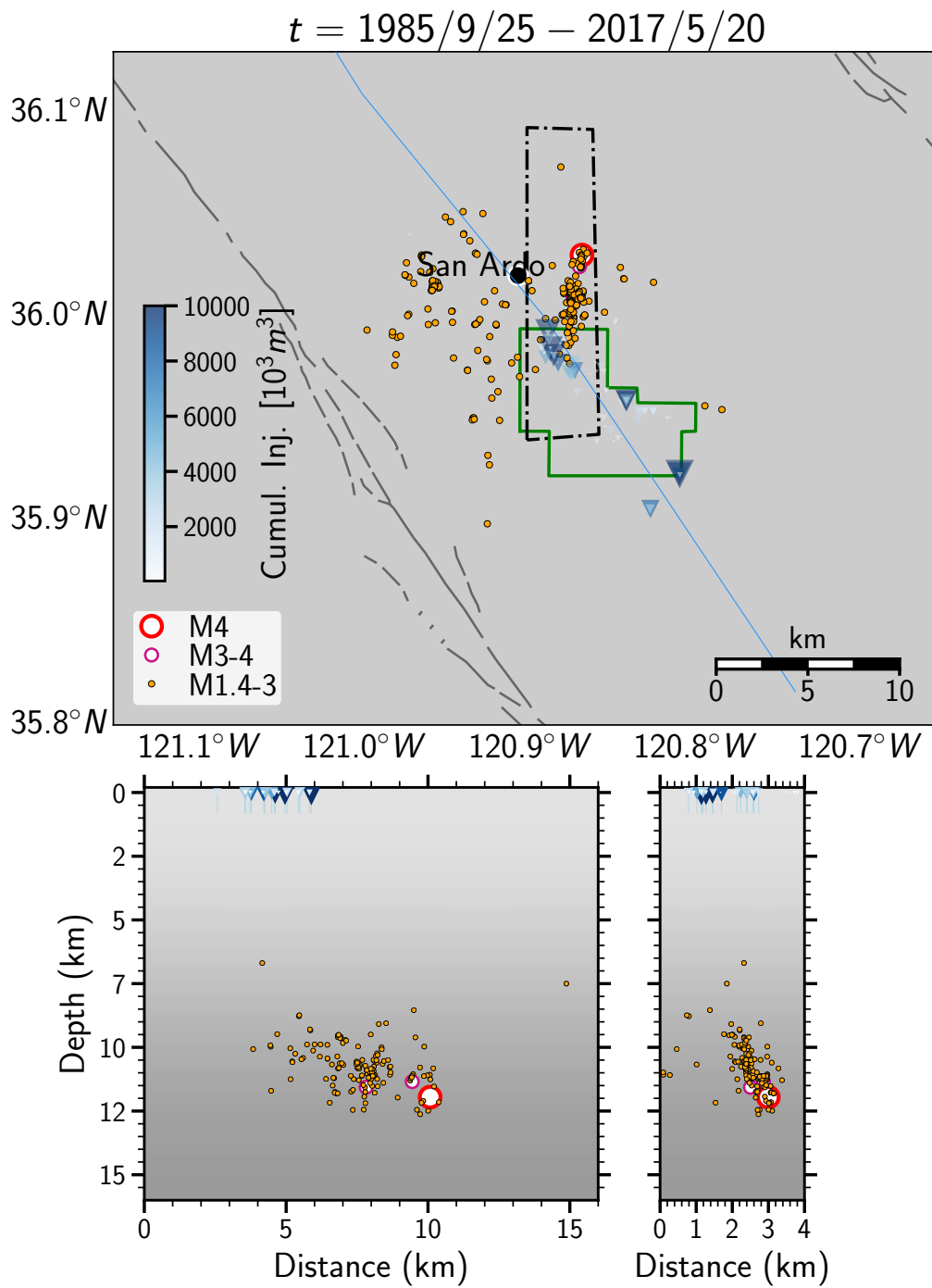


Figure S3: Same as Figure S2 but only for events relocated with GrowClust

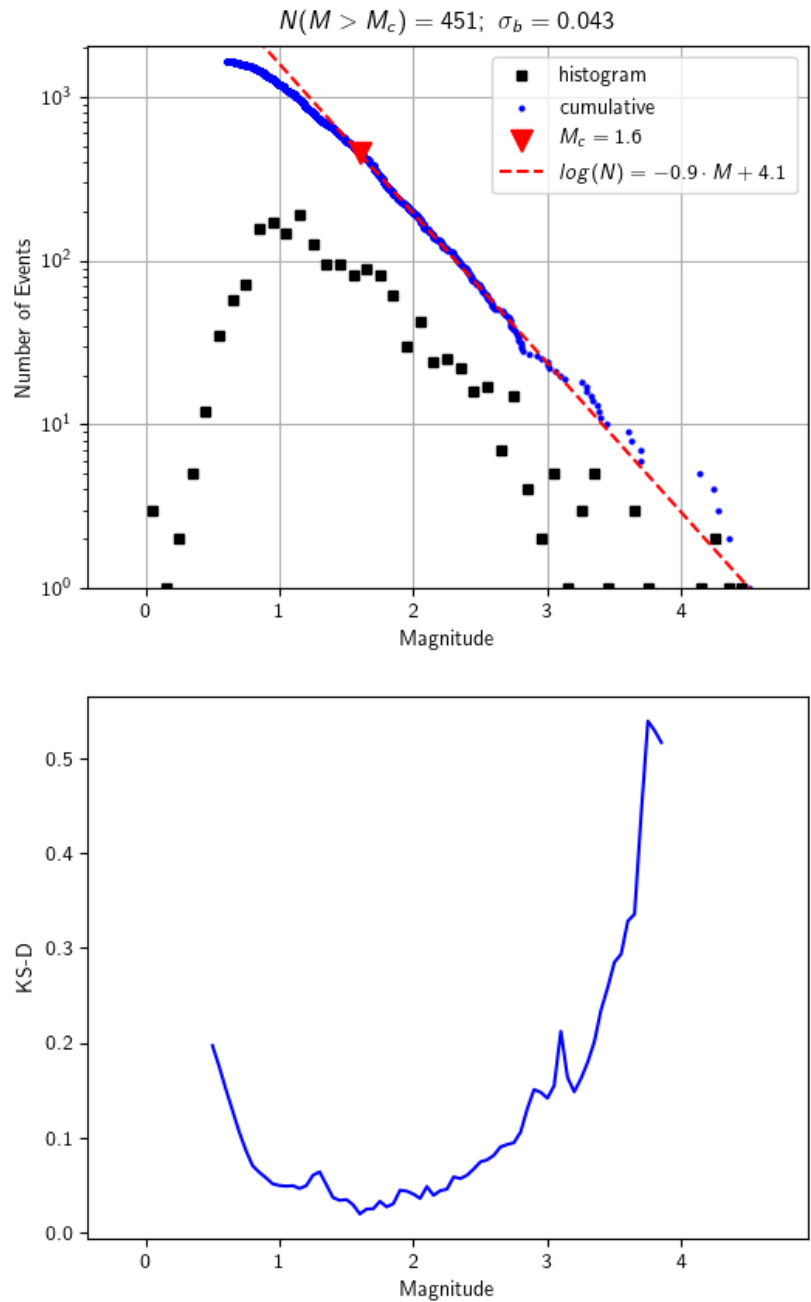


Figure S4: Top: Frequency-magnitude distribution, b -value and magnitude of completeness, M_c , for the San Ardo seismicity. Bottom: Misfit function between observed and modeled distribution, which was used to determine M_c .

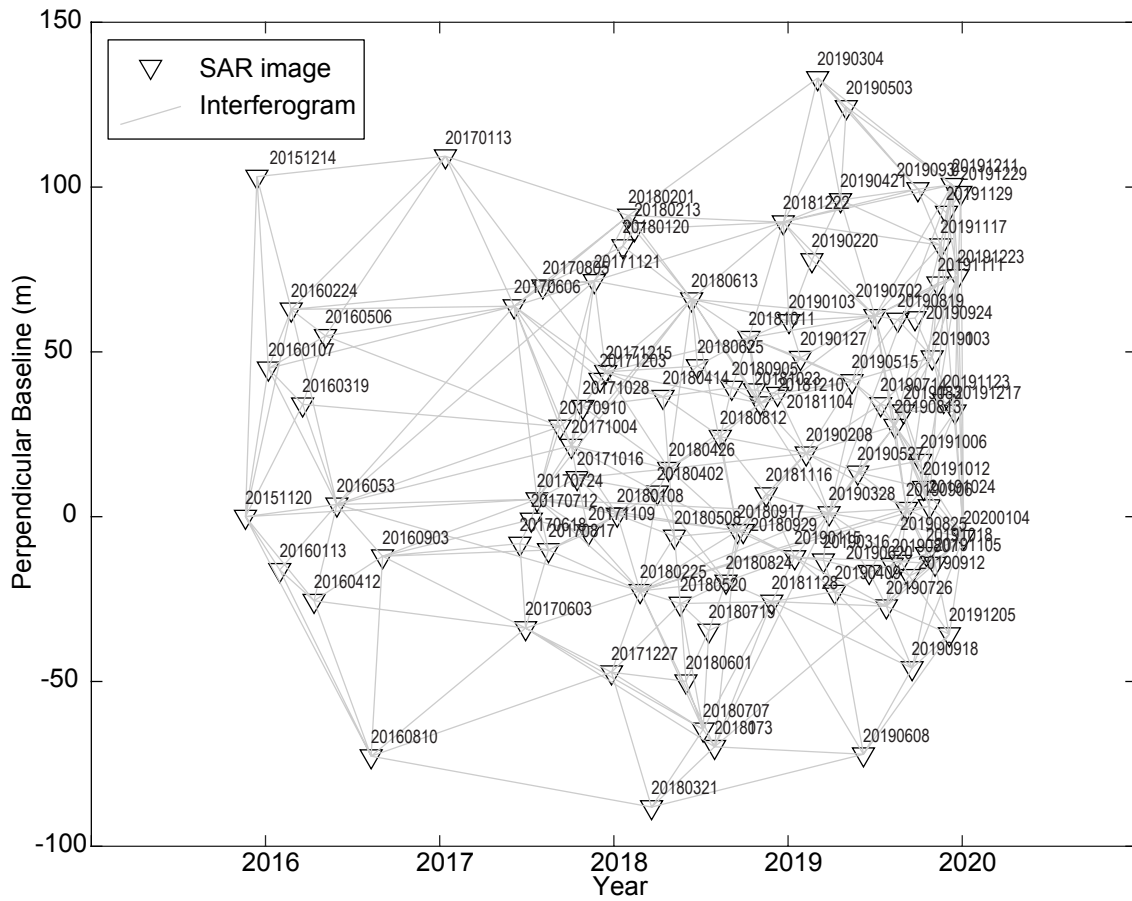


Figure S5: Baseline plot showing the temporal and perpendicular baseline of the interferometric dataset used for generating surface deformation map over the San Ardo injection site.

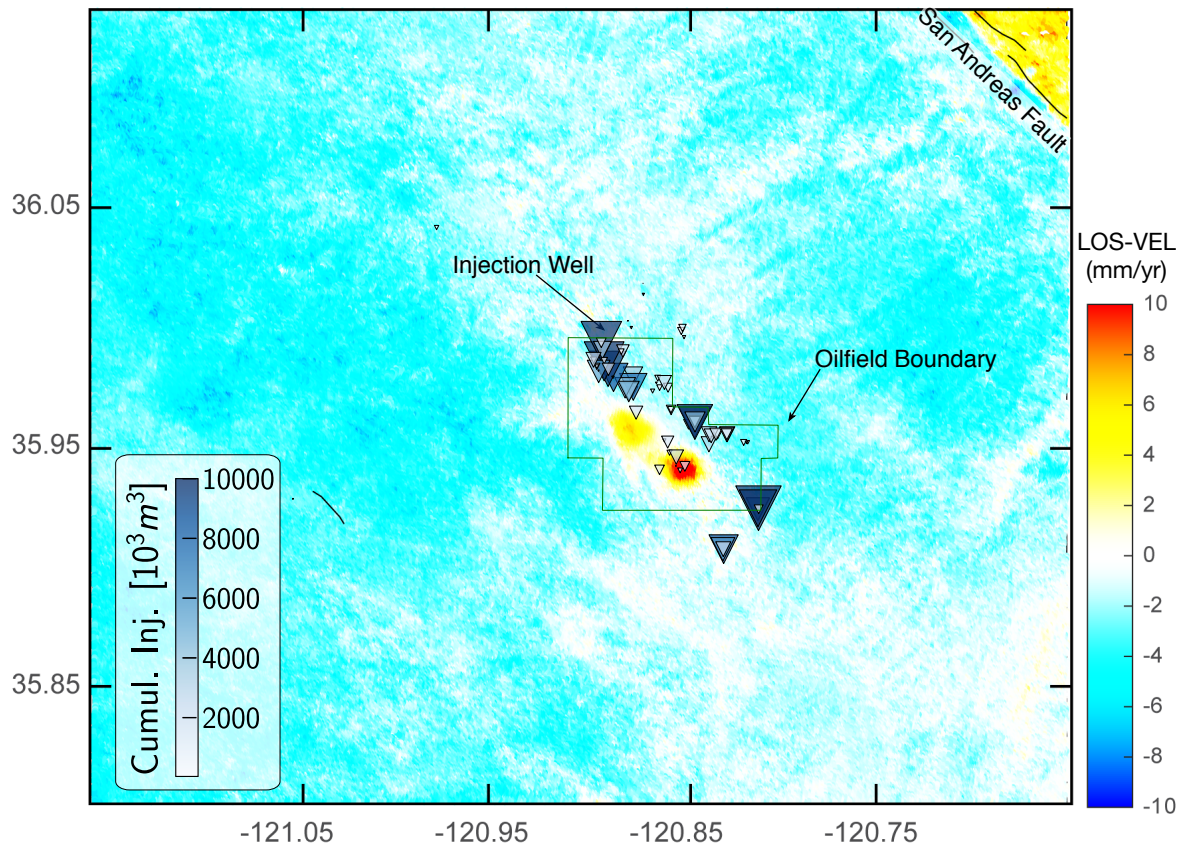


Figure S6: Same as Figure 4 in the main text but zoomed into the San Ardo area to highlight the local surface uplift above the oilfield. The spatial distribution of the LOS velocity was obtained from multi-temporal InSAR processing of Sentinel-1A/B data sets.

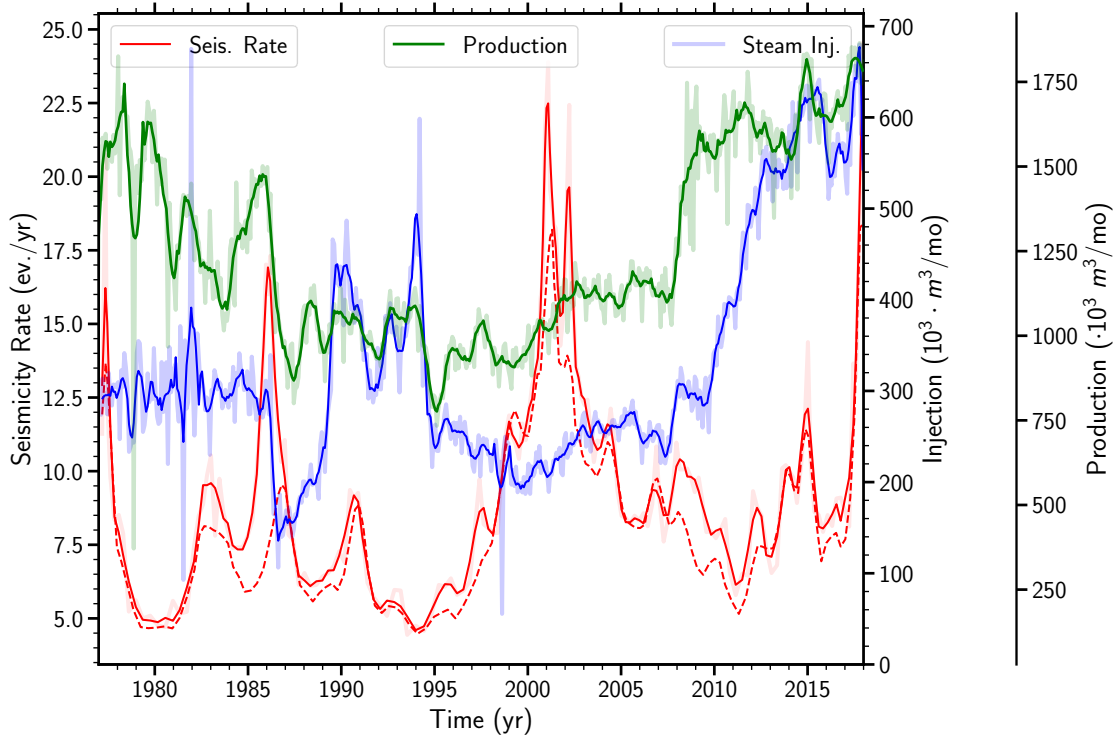


Figure S7: Seismicity (red), production (green) and steam injection (blue) for the San Ardo oilfield between 1977 and 2018. This figure highlights that correlations between other operational parameters and seismicity are not very pronounced. (compare with Fig. 5 in the main text).